



LEGISLATIVE FISCAL OFFICE



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Members of the Alabama Legislature:

This booklet was prepared by the Legislative Fiscal Office to provide members of the Alabama Legislature with general budgetary information as well as other information about state government finances such as the appropriation totals for selected state agencies for fiscal year 2011, bonded indebtedness, pay raises, health insurance and retirement rates and costs for state employees and teachers and the proration history for the Education Trust Fund and the State General Fund.

It was designed to be a quick reference guide for the membership concerning frequently asked questions about the above mentioned topics. This publication may also be obtained by accessing the LFO website at www.lfo.state.al.us.

We hope the information contained herein will be useful. Any suggestions that you might have for subsequent editions of this booklet are welcomed.

Sincerely,

Joyce Bigbee
Director

JB:csh

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**TOTAL APPROPRIATIONS
FOR FY 2011**

**General Fund Budget
and Separate Bills**

	Millions
State General Fund ⁽¹⁾	\$1,677
Federal Stimulus Funds	\$391
Federal Jobs Funds	\$736
Earmarked State Funds and Federal and Local Funds (includes transfers from other agencies)	\$11,880
Total Appropriations	\$14,684

**Education Trust Fund Budget
and Separate Bills**

Education Trust Fund ⁽²⁾	\$5,520
Federal Stimulus Funds	\$502
Earmarked State Funds and Federal and Local Funds	\$6,192
Total Appropriations	\$12,214
GRAND TOTAL ALL APPROPRIATIONS	\$26,898

⁽¹⁾ This amount reflects the grand total of appropriations and obligations in the State General Fund as of October 31, 2010, which include Medicaid State General Fund Carry Over in the amount of \$34,592,446 and \$36,254,205 in FY 2010 SGF reversions eligible for reappropriation in FY 2011.

⁽²⁾ This amount reflects the grand total of appropriations in the Education Trust Fund as of October 31, 2010, which include \$16,007,716 in FY 2010 ETF reversions eligible for reappropriation in FY 2011.

**BONDED INDEBTEDNESS
AS OF SEPTEMBER 30, 2009
G.O. AND REVENUE BONDS
OUTSTANDING DEBT**

	Net Principal Amount	Debt Per* Capita
General Obligation Bonds	\$687,385,663	\$145.97
Revenue Bonds	<u>4,683,154,077</u>	<u>\$994.57</u>
Total Outstanding Debt	\$5,370,489,740	\$1,140.54

*Based on July 2009 estimated population from U.S Census Bureau.

**ANNUAL DEBT SERVICE
ON G.O. DEBT OUTSTANDING
AS OF SEPTEMBER 30, 2009**

FY Ending 9/30	Total G.O. Bond Debt Service
2011	\$63,732,651
2012	\$63,629,939
2013	\$63,622,149
2014	\$63,454,129
2015 – thereafter	\$638,992,662

**ANNUAL DEBT SERVICE
ON REVENUE BONDS
OUTSTANDING AS OF SEPTEMBER 30, 2009**

FY Ending 9/30	Total Debt Service
2011	\$506,789,798
2012	\$487,115,519
2013	\$434,064,744
2014	\$396,188,359
2015 – thereafter	\$7,152,871,330

Source: Examiners' Report on Bond Indebtedness.
Filed: June 11, 2010

PRORATION

Amendment No. 26 to the Alabama Constitution, 1901 provides that no warrants shall be drawn on the State Treasury unless sufficient revenues are available. In such instances, any expenditure from any funds that have insufficient revenue shall be prorated so that only available revenues are expended.

The most recent occurrences of proration in the Education Trust Fund and General Fund are listed below.

EDUCATION TRUST FUND PRORATION

<u>Fiscal Year</u>	<u>Proration % without RDA Transfers</u>	<u>Proration % after RDA Transfers</u>
1979	3.0%	
1980	6.1%	
1981	3.6%	
1986	4.2%	
1991	6.5%	
1992	3.0%	
2001	6.2%	
2003 ⁽¹⁾	4.4%	0%
2008 ⁽²⁾	6.5%	0%
2009 ⁽³⁾	18.0%	11.0%
2010	9.5%	

GENERAL FUND PRORATION

<u>Fiscal Year</u>	<u>Proration % without RDA Transfers</u>	<u>Proration % after RDA Transfers</u>
1983	15.0%	
1986	3.0%	
1991	2.6%	
1992	5.5%	
1993	3.2%	
2010 ⁽⁴⁾	20.0%	10.0%

Percents are rounded to nearest tenth of a percent.

Proration Continued:

- (1) In FY 2003, \$179,993,229 was transferred to the Education Trust Fund from the Education Trust Fund Rainy Day Account and \$54,250 was transferred to the Education Trust Fund from the Proration Prevention Account in order to offset proration for state education agencies.
- (2) In FY 2008, \$439,372,515 was transferred to the Education Trust Fund from the Proration Prevention Account to completely offset proration in the Education Trust Fund.
- (3) In FY 2009, \$437,390,828 was transferred to the Education Trust Fund from the Education Trust Fund Rainy Day Account and \$129,590 was transferred to the Education Trust Fund from the Proration Prevention Account in order to reduce proration in the Education Trust Fund to 11%.
- (4) In FY 2010, \$161,565,874 was transferred to the State General Fund from the General Fund Rainy Day Account in order to reduce proration in the State General Fund to 10%.

EDUCATION TRUST FUND RESERVE FUNDS

Proration Prevention Account

Act 88-981, now codified as Section 40-1-32.1, Code of Alabama 1975, created the Proration Prevention Account. Section 40-1-32.1 was amended in 1999 to require that 20% of any unanticipated and unappropriated ending balance in the ETF be deposited into the Account. In 2008, the Legislature further amended this code section by increasing the 20% requirement to 75%. The Account can also receive funds two other ways: (1) the Legislature can directly appropriate funds into the Account, and (2) interest earned on the Account remains in the Account. Since FY 2002, \$365,866,918 in unanticipated and unappropriated ending ETF balances have been deposited into the Account. Also, since FY 2002, appropriations totaling \$34 million as well as interest totaling \$39,559,847 have been deposited into the Account. The balance in the Account at the end of each fiscal year is shown below:

FY 2002	12,385
FY 2003 ⁽¹⁾	0
FY 2004	11,101
FY 2005	17,047,968
FY 2006	242,663,352
FY 2007	428,632,342
FY 2008 ⁽²⁾	0
FY 2009	0
FY 2010	0

⁽¹⁾ During FY 2003, \$54,250 was transferred to the ETF to offset proration.

⁽²⁾ During FY 2008, \$439,372,515 was transferred to the ETF to avoid proration.

Rainy Day Account – Education Trust Fund

Amendment 709 to the Alabama Constitution originally created the ETF Rainy Day Account as a part of the Alabama Trust Fund. Amendment 803 to the Alabama Constitution repealed Amendment 709 and reestablished the ETF Rainy Day Account within the Alabama Trust Fund. Initially, the maximum balance in the Account was \$248 million. Under the new scheme, the maximum amount available for withdrawal equals 6.5% of the ETF appropriations for the prior fiscal year less any amounts previously withdrawn that have not been repaid. Amendment 803 also increased from five years to six years the Account's repayment deadline. In FY 2009, withdrawals from the Account were restricted to the amount necessary to reach the highest estimated available revenue for the fiscal year. Beginning in FY 2010, withdrawals are restricted to the amount necessary to reach the average estimated available revenue for the fiscal year. In FY 2003, \$179,993,229 was withdrawn from the Account. In FY 2009, the maximum withdrawal of \$437,390,828 was made. The balance in the Account at the end of each fiscal year is shown below:

Education Trust Fund Reserve/Rainy Day Accounts Continued:

FY 2002	248,000,000
FY 2003	68,006,771
FY 2004	104,006,771
FY 2005	140,006,771
FY 2006	176,006,771
FY 2007	248,000,000
FY 2008	248,000,000
FY 2009	0
FY 2010	0

Note: When funds are transferred from this Account, an additional amount equal to 25% of the transfer amount is transferred from the Alabama Trust Fund to the County and Municipal Government Capital Improvement Trust Fund.

Rainy Day Account – State General Fund

Amendment 803 to the Alabama Constitution also created the State General Fund Rainy Day Account as a part of the Alabama Trust Fund. At no time may the amount withdrawn from this Account exceed 10% of General Fund appropriations for the previous fiscal year less any amounts previously withdrawn which have not been repaid. The Legislature must replenish the Account within 10 years after withdrawal. In FY 2009, withdrawals from the Account were restricted to the amount necessary to reach the highest estimated available revenue for the fiscal year. Beginning in FY 2010, withdrawals from the Account are restricted to the amount necessary to reach the average of the estimated available revenue for the fiscal year. The balance in the Account at the end of FY 2010 was \$26,763,500 .

Note: When funds are transferred from this Account, an additional amount equal to 25% of the transfer amount is transferred from the Alabama Trust Fund to the County and Municipal Government Capital Improvement Trust Fund.

ESTIMATED COST OF A TEACHER UNIT

Salary Matrix for FY 2011

Years Experience	Degree Level				
	<u>BS</u>	<u>MS</u>	<u>6 Year</u>	<u>Doctor</u>	<u>No Degree</u>
Exp < 3	36,144	41,564	44,818	48,071	36,144
3 < exp < 6	39,756	45,720	49,297	52,877	39,756
6 < exp < 9	41,497	47,721	51,470	55,191	41,497
9 < exp < 12	42,053	48,362	52,148	55,932	42,053
12 < exp < 15	42,818	49,238	53,093	56,949	42,818
15 < exp < 18	43,794	50,364	54,305	58,244	43,794
18 < exp < 21	44,360	51,012	55,005	58,999	44,360
21 < exp < 24	44,926	51,666	55,708	59,752	44,926
24 < exp < 27	45,461	52,201	56,245	60,288	45,461
27 > exp	45,997	52,737	56,780	60,824	45,997

Average Salary (FY 2011) \$46,914

Fringe Benefits

FICA and Medicare @ 7.65%	3,589
TRS @ 12.51%	5,869
PEEHIB @ \$752 per month	9,024
Unemployment Compensation @ 0.125%	59
Leave 7 days @ \$60 per day	420

Classroom Instructional Support

Student Materials	0
Technology	0
Professional Development	0
Library Enhancement	0
Common Purchase	0

Other Current Expense 11,368

TOTAL **\$77,243**

COST OF LIVING SALARY INCREASES

Fiscal Year	State Employees	Teachers
1992	0.0%	0.0%
1993	0.0%	0.0%
1994	0.0% ⁽¹⁾	6.5%
1995	8.0%	8.5%
1996	0.0%	0.0%
1997	0.0%	4.0%
1998	0.0%	0.0%
1999	8.0%	8.5%
2000	0.0%	0.0%
2001	2.0%	1%-5.5% (depending upon experience)
2002	2.0%	0.0%
2003	3.0%	3.0%
2004	0.0%	0.0%
2005	0.0%	0.0%
2006	6.0%	6.0%
2007	5.0%	5.0%
2008	3.5%	7.0%
2009	3.5%	0.0%
2010	0.0%	0.0%
2011	0.0%	0.0%

⁽¹⁾ State employees received a one-time \$1,250 bonus in lieu of a salary increase.

**COSTS FOR SALARY INCREASES FOR
TEACHERS AND SUPPORT PERSONNEL IN K-14
FISCAL YEARS 1997-2011**

FISCAL YEAR	PERCENT INCREASE		ESTIMATED COST TO ETF
1997	4%		86.3 million
1998	0%		
1999	8.5%		184.8 million
2000	0%		
2001	1%-5.5%	(Depending upon experience)	114.4 million
2002	0%		
2003	3%		80.0 million
2004	0%		
2005	0%		
2006	6%		184.8 million
2007	5%		175.9 million
2008	7%		269.4 million
2009	0%		
2010	0%		
2011	0%		

**COSTS FOR SALARY INCREASES FOR
STATE EMPLOYEES
FISCAL YEARS 1997-2011**

FISCAL YEAR	PERCENT INCREASE	TOTAL COST	ADDITIONAL AMOUNT APPROPRIATED FROM GENERAL FUND
1997	0%		
1998	0%		
1999	8%	96.0 million	9.30 million ⁽¹⁾
2000	0%		
2001	2%	24.4 million	4.25 million ⁽²⁾
2002	2%	24.4 million	0.00
2003	3%	40.5 million	13.0 million
2004	0%		
2005	0%		
2006	6%	86.1 million	30.0 million
2007	5%	77.9 million	26.7 million
2008	3.5%	62.8 million	21.0 million

Cost for Salary Increases continued:

2009	3.5%	67.1 million	24.5 million
2010	0.0%		
2011	0.0%		

⁽¹⁾ Remainder paid from retirement contribution savings and other agency funds.

⁽²⁾ Represents one-half the State General Fund cost of the pay raise.

RETIREE COST OF LIVING INCREASES

Fiscal Year	Employees' Retirement System	Teachers' Retirement System
1992	None	None
1993	None	None
1994	1.28%, plus \$1.28/mo. for each year of service and for each year since retirement.	1.28%, plus \$1.28/mo. for each year of service and for each year since retirement.
1995	2.5%, plus \$1.50/mo. for each year of service and \$1/mo. for each year since retirement; minimum of \$25/mo.	2.5%, plus \$1.50/mo. for each year of service and \$1/mo. for each year since retirement; minimum of \$25/mo.
1996	None	None
1997	2.0%, plus \$1/mo. for each year of service; minimum of \$25/mo.	2.0%, plus \$1/mo. for each year of service; minimum of \$25/mo.
1998	None	None
1999	4.0%, plus \$2/mo. for each year of service; minimum of \$30/mo.	4.0%, plus \$2/mo. for each year of service; minimum of \$30/mo.
2000	None	None
2001	4.0%; minimum of \$25/mo.	4.0%; minimum of \$15/mo.
2002	None	None
2003	3.0%; minimum of \$15/mo.	3.0%; minimum of \$15/mo.
2004	None	None
2005	None	None
2006	4.0%; minimum of \$15/mo.	4.0%; minimum of \$15/mo.
2007	7.0%; minimum of \$25/mo.	7.0%; minimum of \$25/mo.
2008	One-time bonus equal to \$1/mo. for each year of service.	One-time bonus equal to \$2/mo. for each year of service.
2009	One-time bonus equal to \$1/mo. for each year of service.	None
2010	None	None
2011	None	None

RETIREMENT SYSTEM CONTRIBUTION RATES

Employer Contribution Rates:

<u>Fiscal Year</u>	State			
	<u>Teachers</u>	<u>Employees</u>	<u>State Police</u>	<u>Judges</u>
1987	7.57%	7.10%	13.44%	35.70%
1988	7.57%	7.10%	15.69%	35.70%
1989	7.57%	7.10%	13.44%	37.58%
1990	7.57%	7.10%	13.44%	27.00%
1991	7.57%	7.12%	13.46%	27.00%
1992	6.35%	6.02%	12.59%	27.00%
1993	6.35%	6.00%	12.59%	28.70%
1994	6.31%	6.00%	14.23%	27.01%
1995	6.31%	6.99%	15.22%	27.01%
1996	9.23%	6.99%	15.22%	23.05%
1997	9.23%	6.99%	15.17%	19.71%
1998	9.66%	7.56%	15.74%	19.71%
1999	4.03%	3.11%	6.85%	9.16%
2000	6.38%	4.08%	9.45%	21.19%
2001	6.38%	4.08%	9.45%	21.19%
2002	5.96%	3.95%	9.24%	21.19%
2003	5.02%	3.95%	9.24%	21.19%
2004	6.56%	4.19%	13.87%	21.93%
2005	7.03%	5.57%	18.03%	21.93%
2006	8.17%	6.77%	21.36%	21.93%
2007	9.36%	7.78%	24.12%	22.50%
2008 ⁽¹⁾	11.75%	10.26%	30.42%	23.23%
2009 ⁽²⁾	12.07%	11.88%	30.99%	23.23%
2010	12.51%	11.94%	30.57%	24.20%
2011	12.51%	11.94%	30.57%	24.20%
2012	12.75%	12.31%	30.57%	26.59%

⁽¹⁾ The FY 2008 rates include the following one-time amounts attributable to bonus payments to retirees: teachers, .69%; state employees, .42%; state police, .42%; judges, .18%.

⁽²⁾ The FY 2009 rates include the following one-time amounts attributable to bonus payments to retirees: state employees, .51%; state police, .51%; judges, .18%.

Employee Contribution Rates:

- 5% Teachers and State Employees (except state police, certified law enforcement, correctional officers and firemen)
- 10% State Police
- 6% Judges and certified law enforcement, correctional officers, and firemen

Note: Employee contribution rates are set by statute.

HISTORY OF EMPLOYER COST FOR RETIREMENT SYSTEMS

FY	TRS ⁽¹⁾	ERS ⁽²⁾	JRF ⁽³⁾
1992	233,507,746	53,628,567	5,979,485
1993	235,429,461	54,506,267	6,859,219
1994	245,783,913	56,265,932	6,280,387
1995	289,703,296	69,619,376	6,854,217
1996	284,615,456	68,802,114	6,494,717
1997	328,195,083	68,911,987	5,866,165
1998	355,953,472	74,055,482	5,397,838
1999 ⁽⁴⁾	182,895,065	33,428,569	2,969,304
2000	267,906,340	43,442,959	5,582,715
2001	280,051,290	49,144,145	7,531,949
2002	266,510,671	46,053,625	8,200,383
2003	238,725,494	48,522,435	8,627,322
2004	305,887,402	52,218,449	8,982,290
2005	343,724,774	68,739,801	8,946,381
2006	425,544,602	90,951,802	8,915,570
2007	532,004,599	115,156,793	9,293,711
2008	716,912,822	160,623,070	9,861,941
2009	752,874,357	192,528,482	10,309,412
2010	773,177,416	191,361,347	10,797,333
2011	775,503,692	191,144,394	11,007,000
2012	790,381,460	196,525,726	12,040,000

⁽¹⁾ Teachers' Retirement System **total** cost. Since FY 1996 a small portion of the employer contribution shown above has been paid from funds other than the ETF.

⁽²⁾ Employees' Retirement System **total** cost.

⁽³⁾ Judicial Retirement Fund **total** cost.

⁽⁴⁾ The Retirement System changed from book to market value for assets in FY 1999, resulting in a lowering of the employer rate/contributions most significantly in FY 1999, but which also affected employer contributions each year thereafter to a lesser degree.

Note: FY 2011 amounts shown are the budgeted amounts. The amounts shown for FY 2012 are estimated amounts.

**STATE EMPLOYEES' HEALTH INSURANCE PLAN (SEHIP)
MONTHLY RATES**

Fiscal Year	Employer Cost Per Active Employee	Active Employee Cost for Single Coverage	Active Employee Cost for Dependent Coverage	Tobacco User Surcharge
1993	211.00		152.00	
1994	243.00		164.00	
1995	255.00		164.00	
1996	255.00		164.00	
1997	255.00		164.00	
1998	255.00		164.00	
1999	320.00		164.00	
2000	357.00		164.00	
2001	400.00		164.00	
2002	445.00		164.00	
2003	490.00		164.00	
2004 ⁽¹⁾	550.00		164.00	
2005	650.00		164.00	20.00
2006 ⁽²⁾	668.00		164.00	20.00
2007 ⁽³⁾	717.00		180.00	22.00
2008 ⁽⁴⁾	775.00		180.00	24.00
2009 ⁽⁴⁾	775.00		180.00	25.00
2010 ⁽⁵⁾	805.00	15.00 ⁽⁶⁾	190.00	30.00
2011 ⁽⁷⁾	805.00	15.00 ⁽⁶⁾	190.00	35.00

⁽¹⁾ A one month credit of \$250 reduced the effective monthly employer cost per active employee to \$529.17.

⁽²⁾ A one month credit of \$216 reduced the effective monthly employer cost per active employee to \$650.

⁽³⁾ A one month credit of \$180 reduced the effective monthly dependent coverage rate paid by active employees to \$165.

⁽⁴⁾ A one month credit of \$775 reduced the effective monthly cost per active employee to \$710.42

⁽⁵⁾ In FY 2010, state agencies made eleven monthly payments, reducing the effective rate to \$737.92.

SEHIP continued:

⁽⁶⁾ The active employee cost for FY 2009-10 and FY 2010-2011 assumes that the employee qualifies for a \$25 per month wellness discount.

⁽⁷⁾ The General Fund budget for FY 2011 (Act 2010-553) set the SEHIP monthly rate at \$805 per month and required the Board to adjust the program wherever appropriate to maintain this fixed rate for FY 2011.

**PUBLIC EDUCATION EMPLOYEES'
HEALTH INSURANCE PLAN (PEEHIP) – MONTHLY RATES**

Fiscal Year	Employer Cost Per Active Employee	Active Employee Contribution for Individual Coverage	Active Employee Cost for Dependent Coverage
1993	N/A	2.00	122.00
1994	N/A	2.00	122.00
1995	N/A	2.00	122.00
1996	210.50	2.00	122.00
1997	165.00	2.00	122.00
1998	200.00	2.00	122.00
1999	225.00	2.00	122.00
2000	317.00	2.00	122.00
2001	345.00	2.00	132.00
2002	414.00	2.00	132.00
2003	433.00	2.00	132.00
2004	479.00	2.00	132.00
2005	583.00	2.00	132.00
2006	668.00	2.00	132.00
2007	717.00	2.00	132.00
2008	775.00	2.00	132.00
2009	752.00	2.00	132.00
2010	752.00	2.00	132.00
2011	752.00	15.00	162.00

PEEHIP Continued:

Note: Prior to FY 1996, PEEHIP received a direct appropriation from the ETF; therefore, there was no "Employer Cost for Active Employees" for those fiscal years. The rates shown for individual and dependent coverage beginning with FY 2006 are after application of the tobacco user discount (\$20 per month per person effective FY 2006; \$22 per month per person effective FY 2008; \$23 per month per person effective FY 2009; \$25 per month per person effective FY 2010; and \$27 per month per person effective FY 2011). Unless an employee with employer paid individual coverage or with a spouse covered as a dependent declares that they and the covered spouse have not used tobacco products within the last 12 months, the additional monthly amount must be paid by the employee. Note that the actual active total employee cost for dependent coverage equals, for the individual coverage premium plus the dependent coverage premium (i.e. for FY 2011, both the \$15 and the \$162 amounts must be paid.)

**HISTORY OF EMPLOYER COST FOR
TEACHERS' AND STATE EMPLOYEES' HEALTH INSURANCE**

	PEEHIP (1)	SEHIP (2)
1992	162,763,300	88,735,693
1993	237,911,040	97,165,197
1994	237,911,040	103,629,524
1995	117,500,000	117,989,175
1996	214,606,434	115,667,901
1997	180,450,600	112,947,717
1998	271,768,000	91,502,406
1999	317,273,000	131,585,762
2000	373,727,784	148,115,489
2001	404,200,620	169,112,747
2002	481,170,672	193,682,768
2003	509,244,372	217,474,419
2004	566,126,268	227,042,877
2005	698,704,512	276,662,054
2006	805,407,600	281,492,460
2007	881,918,604	319,123,823
2008	961,452,126	322,591,716
2009	925,317,517	322,232,685
2010	911,875,393	361,793,265
2011	899,261,904	359,979,900

(1) Public Education Employees' Health Insurance Plan. Prior to FY 1996 PEEHIP received an appropriation from the ETF. Since FY 1996 premiums have been paid directly by employers based on a premium rate set in the ETF budget act. A small portion of these premiums are paid from funds other than the ETF. The amounts shown above reflect total cost.

(2) State Employees' Health Insurance Plan - total cost.

Note: FY 2011 amounts shown are the budgeted amounts.

RETIREE HEALTH CARE TRUST FUNDS

Public Education Employees' Health Insurance Board (PEEHIB)

In response to a requirement by the Governmental Accounting Standard Board (GASB) that liabilities for retiree benefits be recognized on a current basis, Act 2007-16 authorized the PEEHIB to create an irrevocable trust for the purpose of holding, investing, and distributing assets to be used for certain retiree benefits. The trust fund balance, as of September 30, 2009, was \$663,588,000.

State Employees' Insurance Board (SEIB)

In response to a requirement by the Governmental Accounting Standard Board (GASB) that liabilities for retiree benefits be recognized on a current basis, Act 2007-16 authorized the SEIB to create an irrevocable trust for the purpose of holding, investing, and distributing assets to be used for certain retiree benefits. The trust fund balance, as of September 30, 2009, was \$88,587,755.

Note: Constitutional Amendment No. 798 provides that the assets of the trusts shall be used exclusively for the expenses of administering the trusts and for health care benefits.

LEGISLATIVE COMPENSATION

Annual Compensation for House and Senate Members	
Salary (\$10/day for 105 days)	\$ 1,050
Expense Allowance (\$50/day for 45 days)	\$ 2,250
Monthly Expense Allowance (\$4,108/month for 12 months)	\$ 49,296
Total Annual Minimum Compensation	\$ 52,596
Annual Compensation for Speaker and Lt. Governor	
Salary (\$12/day for 105 days)	\$ 1,260
Expense Allowance (\$50/day for 45 days)	\$ 2,250
Monthly Expense Allowance (\$4,108/month for 12 months)	\$ 49,296
Additional Monthly Expense Allowance (\$1,500/month for 12 months)	\$ 18,000
Total Annual Minimum Compensation	\$ 70,806

The above compensation total excludes the additional salary (\$10/day) and expense allowance (\$50/day) that legislators would receive for attending a Special Legislative Session. It also excludes mileage reimbursement that each legislator receives for attending a legislative session (\$.10 per mile round-trip from residence to capitol, once per session).

Act 07-75 stated that the monthly expense allowance provided to each legislator would be "adjusted annually to reflect any increase in the cost of living as indicated by the United States Department of Labor Consumer Price Index." The Consumer Price Index for All Urban Consumers (CPI-U), as published by the Department of Labor's Bureau of Labor Statistics (BLS), is utilized when calculating the annual increase. The annual increase is equal to the average of the twelve monthly annual changes from January to December of each year, rounded to the nearest one tenth of one percent (this change is published annually by the BLS). The new payment is rounded to the nearest dollar amount. The increase is effective April 1 of each year.

Sources:

Salary: Amendment 57 of the Constitution; Section 29-1-8 of the Code of Alabama 1975

Expense Allowance: Act 91-108

Monthly Expense Allowance: Act 07-75

Additional Monthly Expense Allowance: Act 71-1196

ESTIMATED COST OF A LEGISLATIVE SESSION

Regular Session	# of Days or Months	Salary Per Day	Total #	Monthly Costs	Total Costs
Legislator Salary	105 days	\$10	139		\$145,950
Speaker & Lt. Gov	105 days	\$12	2		\$ 2,520
Mileage (House/Senate)					\$ 3,600
Temporary Employees	4 months			\$150,000	\$600,000
Security	3 months			\$ 8,750	\$ 26,250
Legislator Expense Allowance	45 days	\$50	141		\$317,250
Delivery of Journals (House/Senate)					\$ 1,600
Supplies (House/Senate and Data Processing)	3 months				\$ 60,000
Total Regular Session					\$1,157,170

Note: Assumes one month administrative wrap-up in a Regular Session.
Does not include the monthly expense allowances provided to each Legislator.

105 calendar days = 15 weeks @ 3 days per week = Estimated 45 days for Legislator Expense Allowance.

ANNUAL COMPENSATION: GOVERNOR'S CABINET

Department	Name	Annual Salary as of 8/1/2010
Finance Dept.	Bill Newton	\$177,266.40
Banking Dept.	John Harrison	\$157,380.03
Dept. of Industrial Relations	Tom Surtees	\$139,259.00
Dept. of Insurance	Jim Ridling	\$91,013.76
Dept. of Labor	Jim Bennett	\$91,013.76
Dept. of Mental Health/Retardation	John Houston	\$145,296.61
Dept. of Revenue	Cynthia Underwood	\$153,600.20
Dept. of Senior Services	Irene Collins	\$91,013.76
Tourism & Travel	Lee Sentell	\$91,013.76
Dept. of Transportation	Joe McInnes	\$91,013.76
Dept. of Public Safety	Col. Chris Murphy	\$91,013.76
Alabama Development Office	Neal Wade	\$165,081.67
Emergency Management Agency	Brock Long	\$124,200.00
Military Dept.	Adj. Gen. A.C. Blalock	\$91,013.76
Dept. of Homeland Security	Jim Walker	\$149,034.04
Medicaid Agency	Carol Steckel	\$138,304.15
Dept. of Human Resources	Nancy Buckner	\$140,000.00
Alcoholic Beverage Control	Emory Folmar	\$91,013.76
Dept. of Children's Affairs	Dr. Marquita Davis	\$110,000.00
Dept. of Economic and Community Affairs	Doni Ingram	\$76,754.16
Dept. of Corrections	Richard Allen	\$123,726.55
Dept. of Conservation & Natural Resources	Barnett Lawley	\$91,013.76

Payroll Information: Open.Alabama.gov.

Cabinet Information: Gov. Riley's website – 8/1/10.

COMMUNITY SERVICES GRANT PROGRAM

Fiscal Year	ETF	SGF
1997	\$ 5,000,000	\$4,000,000
1998	-	
1999	\$ 5,000,000	
2000	\$10,000,000	
2001	\$12,128,340	
2002	\$12,393,833	
2003	\$11,207,396	
2004	-	
2005	\$11,700,000	
2006	\$13,404,633	
2007	\$13,800,000	
2008	\$14,766,000	
2009	\$ 8,913,095	\$2,000,000
2010	\$ 8,437,286	\$6,642,000
2011	\$ 8,438,627*	\$3,782,886**

The FY 01 and FY 03 amounts represent the amounts appropriated after proration of 6.2% and 4.4%, respectively, was declared.

The FY 06 appropriation includes a \$12.8 million appropriation plus \$604,633 for those legislative districts which did not receive their full FY 05 allocation due to a lawsuit.

The FY 09, 10 and 11 community services grants amounts appropriated from the State General Fund are for House Legislative Districts only.

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$1,341.

**This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$232,886.

ALABAMA CAPITAL IMPROVEMENT TRUST FUND

The Alabama Capital Improvement Trust Fund (ACITF) was created in 2000 by Amendment 666 to the Alabama Constitution of 1901. Twenty-eight percent of royalties received by the state from offshore production of oil or natural gas are deposited into the ACITF. Amounts in the ACITF can be appropriated for capital improvements, including debt service on bonds.

	<u>Actual</u> <u>FY 2009</u>	<u>Budgeted</u> <u>FY 2010</u>	<u>Estimated</u> <u>FY 2011</u>
Beginning Balance	18.1	19.8	5.3
Royalties Received	96.7	49.3	40.7
Total Available	114.8	69.1	46.0
Expenditures/Appropriations	95.0	63.8	43.0
Ending Balance	19.8	5.3	3.0

EDUCATION TRUST FUND RECEIPTS AND EXPENDITURES

Fiscal Year	Actual Receipts	Change	Actual Expenditures
1986	\$1,886,141,299	3.49%	\$2,136,512,263
1987	\$2,087,058,529	10.65%	\$2,047,466,345
1988	\$2,217,014,886	6.23%	\$2,112,773,900
1989	\$2,373,481,524	7.06%	\$2,483,060,672
1990	\$2,481,832,988	4.57%	\$2,482,004,527
1991	\$2,524,540,232	1.72%	\$2,558,206,830
1992	\$2,623,153,373	3.91%	\$2,621,045,355
1993	\$2,827,045,036	7.77%	\$2,757,564,315
1994	\$3,020,782,528	6.85%	\$2,997,076,069
1995	\$3,203,670,344	6.05%	\$3,287,001,937
1996	\$3,346,547,104	4.46%	\$3,345,617,091
1997	\$3,527,022,712	5.39%	\$3,550,737,409
1998	\$3,734,614,941	5.89%	\$3,721,359,200
1999	\$3,911,479,690	4.74%	\$3,911,156,500
2000	\$4,114,571,877	5.19%	\$4,115,371,659
2001	\$4,015,374,184	-2.41%	\$4,036,182,733
2002	\$4,133,348,664	2.94%	\$4,138,712,868
2003	\$4,249,955,349	2.82%	\$4,252,726,523
2004	\$4,456,026,869	4.85%	\$4,281,961,572
2005	\$4,969,255,238	11.52%	\$4,597,071,292
2006	\$5,498,361,937	10.65%	\$5,383,104,303
2007	\$5,854,027,193	6.47%	\$6,273,363,954
2008	\$6,414,630,671	9.58%	\$6,694,675,698
2009	\$5,679,120,475	-11.47%	\$5,679,120,475
2010	\$5,217,470,126	-8.13%	\$5,205,697,025

GENERAL FUND RECEIPTS AND EXPENDITURES

Fiscal Year	Actual Receipts	Change	Actual Expenditures
1986	\$583,925,573	-4.10%	\$633,057,336
1987	\$603,782,859	3.40%	\$582,355,893
1988	\$669,225,673	10.84%	\$666,452,317
1989	\$713,463,977	6.61%	\$727,436,019
1990	\$750,230,918	5.15%	\$737,967,964
1991	\$797,383,173	6.29%	\$827,730,791
1992	\$808,334,687	1.37%	\$785,578,853
1993	\$832,517,581	2.99%	\$810,547,704
1994	\$836,506,295	0.48%	\$862,748,583
1995	\$874,315,598	4.52%	\$864,335,797
1996	\$896,910,316	2.58%	\$893,923,750
1997	\$913,394,224	1.84%	\$924,512,500
1998	\$980,688,962	7.37%	\$966,234,023
1999	\$1,028,896,601	4.92%	\$1,008,230,029
2000	\$1,130,069,255	9.83%	\$1,127,796,166
2001	\$1,163,074,891	2.92%	\$1,198,432,091
2002	\$1,144,312,996	-1.61%	\$1,205,131,989
2003	\$1,313,933,803	14.82%	\$1,234,836,991
2004	\$1,297,452,185	-1.25%	\$1,261,423,837
2005	\$1,437,229,950	10.77%	\$1,425,762,453
2006	\$1,656,451,339	15.25%	\$1,561,372,278
2007	\$1,634,581,148	-1.32%	\$1,658,721,549
2008	\$1,814,312,603	11.00%	\$1,834,413,761
2009	\$1,602,571,656	-11.67%	\$1,716,127,716
2010	\$1,428,088,535	-10.89%	\$1,473,417,645

TOBACCO SETTLEMENT

On November 23, 1998, 46 states, the District of Columbia, America Samoa, the Northern Marianas, Guam, the Virgin Island and Puerto Rico reached a settlement with Phillip Morris, R. J. Reynolds Tobacco Company, Brown and Williamson Tobacco and Lorillard Tobacco Company. The settlement calls for the companies to pay the states, the District of Columbia and territories more than \$206 billion over 25 years of which Alabama is projected to receive \$3.04 billion. As of September 1, 2010, Alabama had received \$1,192,931,744. Annual receipts were as follows:

2000	\$131,742,413
2001	\$103,076,538
2002	\$121,567,706
2003	\$119,296,356
2004	\$101,871,680
2005	\$101,871,492
2006	\$94,553,640
2007	\$98,969,041
2008	\$106,148,659
2009	\$116,588,383
2010	\$97,245,835

Alabama is projected to have a FY 2011 beginning balance of \$14,595,064 and projected receipts of \$94,589,446 for a total of \$109,184,410. The Alabama Legislature appropriated \$94,820,054 in tobacco revenue to be expended in FY 2011. In addition, \$10,718,511 that was statutorily allocated to the Department of Education will be transferred to the State General Fund (pursuant to Act No. 561 of the 2010 Regular Session) for a total of \$105,538,565 in tobacco revenue to be expended in FY 2011. Appropriations are as follows:

Children First Trust Fund:

Agency	Appropriation
Alcoholic Beverage Control Board	\$701,817
Department of Child Abuse and Neglect Prevention	3,004,489
Department of Forensic Sciences	611,433
Department of Human Resources	11,082,960
Juvenile Probation Services Fund	5,541,480
Medicaid Agency	1,939,519
Department of Mental Health and Mental Retardation	2,770,740
Multiple Needs Children's Fund	3,448,540
Department of Public Health	5,541,480
Department of Rehabilitation Services	300,000
Department of Youth Services	9,420,516
Total Appropriation	\$44,362,974

Tobacco Settlement Continued:

Other Tobacco Revenue:

Agency	Appropriation
Department of Children's Affairs	\$250,000
21st Century Debt Services	13,000,000
Senior Services Trust Fund	1,583,280
Alabama Medicaid Agency	33,248,880
Department of Senior Services - Medicaid Waiver	2,374,920
Total Other Tobacco Appropriation	\$50,457,080
State General Fund Transfer	\$10,718,511
Total Tobacco Revenue Appropriation	\$105,538,565

FEDERAL STIMULUS AND STABILIZATION FUNDS
APPROPRIATED IN FY 2011

State General Fund

Department	Amount
Economic and Community Affairs	\$163,879,909
Environmental Management	\$32,000,000
Finance	\$ 2,000,000
Health	\$14,628,370
Human Resources	\$43,555,235
Industrial Relations	\$ 8,479,843
Medicaid	\$105,279,226
Mental Health	\$10,584,012
Public Safety	\$405,500
Rehabilitation Services	\$9,081,437
Senior Services	\$890,454
Youth Services	\$232,619
Total	\$391,466,605

Education Trust Fund

Department	Amount
Education (K12 and Postsecondary) ¹	\$400,812,780
State Council on the Arts	\$112,000
Universities ²	\$101,008,040
Total	\$501,933,091

⁽¹⁾ Includes \$179,434,391 (Foundation Program) and \$17,735,504 (Community College System) in Fiscal Stabilization Funds.

⁽²⁾ Fiscal Stabilization Funds.

FEDERAL JOBS FUNDS APPROPRIATED IN FY 2011

State General Fund

Department	Amount
Economic and Community Affairs	\$4,853,000
Environmental Management	\$20,816,000
Faith Based and Community Initiatives	\$403,000
Health	\$5,141,123
Human Resources	\$3,728,721
Medicaid	\$163,740,550
Mental Health	\$20,923,576
Rehabilitation Services	\$942,164
Senior Services	\$1,701,401
Transportation	\$513,692,000
Youth Services	\$526,554
Total	\$736,468,089

ABC BOARD

DISTRIBUTION OF TAXES AND PROFITS IN FY 2009

State General Fund	\$83,627,400
Mental Health	14,165,499
Human Resources	47,866,631
Education Trust Fund	22,770,801
Local Governments	6,942,415
TOTAL	\$175,372,746

Pricing of a \$10 Bottle of Liquor Sold in ABC Stores

Cost of bottle – plus delivery	\$10.00
30% mark-up	3.00
56% state liquor tax	7.28
6% sales tax (4% state, 2% local)	<u>1.22</u>
TOTAL RETAIL PRICE	\$21.50

Appropriation to ABC Board for operating expenses (FY 2011) \$83,570,449

AGRICULTURE AND INDUSTRIES

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	15,357,597	40.55%
Agriculture Funds	18,318,060	48.36%
TOTAL STATE FUNDS	33,675,657	88.91%
FEDERAL & LOCAL		
Other Federal Funds	4,200,000	11.09%
TOTAL FEDERAL & LOCAL	4,200,000	11.09%
TOTAL APPROPRIATION	37,875,657	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$534,330.

The Department of Agriculture and Industries provides inspection and grading services to the agriculture industry in the state, issues various agricultural permits and licenses, and performs lab and diagnostic services related to agricultural commodities.

	<u>Farms</u> (1,000)		<u>Acreage</u> (mil.)		<u>Average</u> (acre per farm)	
	<u>2000</u>	<u>2009</u>	<u>2000</u>	<u>2009</u>	<u>2000</u>	<u>2009</u>
AL	47	48.5	9	9	191	186

	<u>2009 Acres Harvested</u>	<u>2009 Production</u>
Cotton (all)	248,000	345,000 bales
Hay	800,000	1.92 million tons
Peanuts	152,000	471,200 thousand lbs
Soybeans	430,000	17.2 million bushels
Wheat	180,000	9.9 million bushels
Corn (grain)	250,000	27 million bushels

Sources: National Agricultural Statistics Service.
State Rankings, 2009.

ARCHIVES AND HISTORY

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	1,946,765	34.54%
Education Trust Fund	3,338,660	59.23%
Other	351,000	6.23%
TOTAL STATE FUNDS	5,636,425	100.00%
FEDERAL & LOCAL	0	0.00%
TOTAL APPROPRIATION	5,636,425	100.00%

The Alabama Department of Archives and History was founded in 1901 and was the first State Department of Archives and History in the United States (33 years before the establishment of the National Archives).

The Museum of Archives and History reported 35,494 visitors and 770 school tours with approximately 26,796 students for FY 2009. The Resources Management function of the Department includes ensuring the preservation of and access to the historical records of state government, assistance in the preservation of historical local government records and the collection of non-governmental records and artifacts that help document Alabama history.

ATTORNEY GENERAL

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	11,872,030	60.24%
General Fund – Consumer Protection	560,825	2.85%
General Fund – Consumer Utility Rate Hearing	233,608	1.19%
TOTAL STATE FUNDS	12,666,463	64.27%
FEDERAL & LOCAL	1,066,700	5.41%
MISCELLANEOUS	5,973,955	30.31%
TOTAL APPROPRIATION	19,707,118	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$1,263,956.

The Office of the Attorney General represents the State, its officers and agents and their employees, either directly or through an appointed official, in all legal matters affecting the operation of the state's agencies and departments and municipalities. The Office also issues opinions on statutes and questions of law and may investigate and prosecute all civil actions and other proceedings necessary to protect the rights and interests of the state and of the consuming public of the state in consumer utility rate hearings.

The Office of the Attorney General is made up of the following divisions/units:

Administrative Hearings	General Civil and Administrative Law
Administrative Services	Investigations
Capital Litigation	Law Enforcement Unit
Constitutional Defense	Medicaid Fraud Unit
Consumer Affairs	Opinions
Criminal Appeals	Public Corruption and White Collar Crimes
Environmental	Victim Assistance
Executive	Violent Crimes
Family Protection Unit	

Selected types and number of cases worked on and/or presented in court for FY 2009 are as follows:

Attorney General Continued:

Consumer complaints received	4,439
Consumer complaints resolved	2,809
Savings to consumers	\$411,592
Medicaid Fraud (investigations)	153
Medicaid Fraud (indictments)	4
Medicaid Fraud (convictions)	1
Medicaid Fraud (recoveries)	\$5,254,122
Welfare Fraud (indictments)*	9
Welfare Fraud (convictions)*	53
Amount of welfare fraud restitution*	\$205,534
Victim's hotline calls	5,094

*The Welfare Fraud function of the Office was transferred to the Department of Human Resources as of April 1, 2009.

Section 36-15-3 of the Code of Alabama provides that the salary of the Attorney General shall be equal to the salary of an Associate Justice of the Supreme Court of Alabama. The Investment In Justice Act of 1999 (Act 99-427) provides for the base state salary of Associate Justices and for additional compensation based on bench experience. The Attorney General is paid the minimum base salary, effective October 1, 2008, of an Associate Justice (\$160,003), plus an additional \$2,000 (1.25% of the base salary) for each year of experience. Although Section 118 of the Constitution of Alabama provides that the compensation of the Attorney General shall not be increased or diminished during a term, this increase is allowed to be given each year on the anniversary date of the Attorney General assuming that office.

CHILDREN'S AFFAIRS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	454,836	2.14%
Education Trust Fund	18,590,252	87.61%
TOTAL STATE FUNDS	19,045,088	89.75%
FEDERAL & LOCAL	2,175,000	10.25%
TOTAL APPROPRIATION	21,220,088	100.00%

The Department of Children's Affairs was created to act as a coordinator for state and local agencies to ensure that services are maximized for the benefit of Alabama's children 19 years of age and younger. Specific responsibilities include:

- Assisting Alabama Children's Policy Council.
- Enhancing pre-kindergarten programs through the Office of School Readiness and the Head Start Program.
- Creating a database that will have all services that are available to children and families in Alabama.
- Hosting special seminars and programs.

CONSERVATION AND NATURAL RESOURCES

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
State General Fund	350,000	0.22%
Conservation Funds	97,220,930	62.16%
TOTAL STATE FUNDS	97,570,930	62.38%
FEDERAL & LOCAL	58,828,324	37.61%
TOTAL APPROPRIATION	156,399,254	100.00%

The Department of Conservation and Natural Resources scope of operations includes the administration, management and maintenance of 23 state parks; 22 public fishing lakes; 4 freshwater fish hatcheries; 30 wildlife management areas; 2 waterfowl refuges; 2 wildlife sanctuaries; 3 nature centers; 45,000 acres of trust lands managed for the benefit of several agencies; and 600,000 acres of state-owned submerged lands managed for the benefit of the State General Fund and Alabama Trust Fund. The Department provides public safety services for approximately one million boaters on over one million acres of recreational and commercial waterways in the state; implements the Coastal Area Management Program; and manages the 6,000 acres and on-site facilities of the Weeks Bay National Estuarine Research Reserve.

PERFORMANCE INDICATORS	FY 2009
State park overall guest count	5,476,574
State park overnight guest count	1,186,598
Wildlife and Freshwater Fisheries Program arrests	7,576
Hunter education students	12,000
Number of deer harvested on management areas	3,953
Number of fish stocked in public waters	4,145,592
Number of fish kills investigated	16
Boats registered	269,637
Boating accidents investigated	108
Marine Police arrests	2,677
Marine Police warnings issued	4,404
Marine sanitation devices inspected	857
Coastal permit reviews	132

CORRECTIONS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	344,227,576	76.92%
Agricultural Fund	683,452	0.15%
Corrections Industries-Other Fund	23,893,474	5.34%
Drug Demand Fund	1,360,623	0.30%
Departmental Receipts	14,950,000	3.34%
Alabama Capital Improvement Trust Fund Transfer	2,168,800	0.48%
TOTAL STATE FUNDS	387,283,925	86.54%
DOC MISCELLANEOUS & FEDERAL	21,138,162	4.72%
DOC MISCELLANEOUS & FEDERAL (Bonus)	39,107,910	8.74%
TOTAL APPROPRIATION	447,529,997	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$4,830,554.

*This amount does not include the \$10,000,000 conditional appropriation from the State General Fund.

Prison population totaled 31,770 as of the end of September 2009 (including institutions, work release, work centers, supervised intensive restitution, and state prisoners in county jails and in other locations).

September 2009 Inmate Population by Sex and Race

	<u>Male</u>	<u>Female</u>
White	11,244 (35.4%)	1,474 (4.6%)
Black	18,024 (56.7%)	990 (3.1%)
Other	38 (0.1%)	0 (0.0%)

September 2009 Occupancy Rates

Major institutions	186.4%
Work release	196.2%
Work centers	248.7%
Average time served by inmates separated in September 2009	39 months
% of inmate population with previous AL incarceration as of September 2009	40.6%

Corrections Continued:

September 2009 Occupancy Rates Continued:

FY 2009 average annual maintenance cost per inmate	\$15,118
Death row inmates as of the end of September 2009	203
Total inmate population sentenced under the Habitual Offender Act as of September 2009	8,640 (27.2%)
Life without parole	541 (6.3% of habitual offenders)

Sentencing Ranges for Non-Habitual Offenders (excluding enhancements):

	Misdemeanor	Felony
Class C	Up to three (3) months imprisonment in the county jail, or a fine not to exceed \$500, or both	Not less than one (1) year and one (1) day and not more than ten (10) years imprisonment in the state penitentiary, and may include a fine not to exceed \$15,000.
Class B	Up to six (6) months imprisonment in the county jail, or a fine up to \$1,000, or both	Not less than two (2) years and not more than twenty (20) years imprisonment in the state penitentiary, and may include a fine not to exceed \$30,000.
Class A	Up to one (1) year imprisonment in the county jail, or a fine up to \$2,000 or both	Not less than ten (10) years and not more than ninety-nine (99) years or life imprisonment in the state penitentiary and may include a fine not to exceed \$60,000.

Sentencing Ranges Under Habitual Offender:

Section 13A-5-9(a)

Criminal defendant previously convicted of a felony and commits another felony.

Conviction	Mandatory Punishment
Class C felony <ul style="list-style-type: none"> • One year, one day to ten years • fine up to \$15,000 	Class B felony <ul style="list-style-type: none"> • Two to 20 years • fine up to \$30,000
Class B felony <ul style="list-style-type: none"> • Two to 20 years • fine up to \$30,000 	Class A felony <ul style="list-style-type: none"> • Ten to 99 years • fine up to \$60,000
Class A felony <ul style="list-style-type: none"> • Ten to 99 years • fine up to \$60,000 	<ul style="list-style-type: none"> • Imprisonment for life or for any term of not more than 99 years but not less than 15 years • fine up to \$60,000

Corrections Continued:

Section 13A-5-9(b)

Criminal defendant previously convicted of any **two** felonies and commits another felony.

Conviction	Mandatory Punishment
Class C felony <ul style="list-style-type: none">• One year, one day to ten years• fine up to \$15,000	Class A felony <ul style="list-style-type: none">• Ten to 99 years• fine up to \$60,000
Class B felony <ul style="list-style-type: none">• Two to 20 years• fine up to \$30,000	<ul style="list-style-type: none">• Imprisonment for life or for any term of not more than 99 years but not less than 15 years• fine up to \$60,000
Class A felony <ul style="list-style-type: none">• Ten to 99 years• fine up to \$60,000	<ul style="list-style-type: none">• Imprisonment for life or any term of not less than 99 years• fine up to \$60,000

Section 13A-5-9(c)

Criminal defendant previously convicted of any **three** felonies and commits another felony.

Conviction	Mandatory Punishment
Class C felony <ul style="list-style-type: none">• One year, one day to ten years• fine up to \$15,000	<ul style="list-style-type: none">• Imprisonment for life or for any term of not more than 99 years but not less than 15 years• fine up to \$60,000
Class B felony <ul style="list-style-type: none">• Two to 20 years• fine up to \$30,000	<ul style="list-style-type: none">• Imprisonment for life or any term of not less than 99 years• fine up to \$60,000
Class A felony <ul style="list-style-type: none">• Ten to 99 years• fine up to \$60,000	<ul style="list-style-type: none">• Where the defendant has no prior convictions for any Class A felony, he or she must be punished by imprisonment for life or life without the possibility of parole, in the discretion of the trial court.
	<ul style="list-style-type: none">• Where the defendant has one or more prior convictions for any Class A felony, he or she must be punished by imprisonment for life without the possibility of parole.

Split sentencing is provided by Section 15-18-8 of the Code of Alabama as an option available to judges to impose upon persons convicted of an offense who are sentenced to 20 years or less, except that persons convicted of a criminal sex offense involving a child which constitutes a Class A or B felony are not eligible for split sentencing.

Corrections Continued:

The options and guidelines for split sentencing are as follows.

1. If the defendant's sentence does not exceed 15 years, the defendant may be confined for a period not to exceed three years and then the remainder of the sentence is suspended and the defendant is placed on probation for the amount of time specified by the court.

If the defendant's sentence does not exceed 20 years but is more than 15 years, the defendant may be confined for a period not to exceed five years but not less than three years, and then the remainder of the sentence is suspended and the defendant is placed on probation for the amount of time specified by the court.

2. The defendant may be confined in Department of Corrections disciplinary, rehabilitation camp program for at least 90 days but not more than 180 days. The Commissioner or a designee is to report to the sentencing court whether or not the defendant completed the program. Upon receipt of the report, the sentencing court may suspend the sentence and place the defendant on probation or confine the defendant to an institution for not more than three years and the remainder of the sentence shall be suspended and the defendant placed on probation. Persons convicted of certain offenses are not eligible for this program.

No defendant serving a minimum period of confinement under split sentencing shall be eligible for parole or for deductions from the sentence under the Alabama Correctional Incentive Time ("Good Time") Act.

INSTITUTE FOR DEAF AND BLIND

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund		
Adult Programs	9,349,612	12.09%
Children and Youth	26,043,024	33.66%
Industries for the Blind	7,967,304	10.30%
TOTAL STATE FUNDS	43,359,940	56.05%
FEDERAL & LOCAL	34,003,573	43.95%
TOTAL APPROPRIATION	77,363,513	100.00%

The Alabama Institute for Deaf and Blind (AIDB) is a comprehensive education and rehabilitation system serving children and adults who are deaf, blind and multi-disabled. Established in 1858, AIDB is funded through three major divisions: Children and Youth Programs, Adult Programs and the Industries for the Blind. AIDB provides services statewide through regional centers located in Auburn, Birmingham, Dothan, Huntsville, Montgomery, Mobile, Tuscumbia, Tuscaloosa and campus programs including residential services located in Talladega.

Regional Centers services span a lifetime including home and community programs for infants, toddlers, children, adults, and seniors. Accredited residential campus programs serve children ages three through 21 through the Alabama School for the Deaf, Alabama School for the Blind and the Helen Keller School of Alabama. The Gentry campus coordinates postsecondary rehabilitation and employment training for deaf and blind adults. AIDB is supported by appropriations from the Education Trust Fund, income from sales of blind-made products and other sources such as federal grants, private gifts and grants.

ALABAMA DEVELOPMENT OFFICE

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	4,068,553	92.08%
Departmental Receipts	350,000	7.92%
TOTAL STATE FUNDS	4,418,553	100.00%
FEDERAL & LOCAL	0	0.00%
TOTAL APPROPRIATION	4,418,553	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$217,804.

The Alabama Development Office (ADO) aids in the recruitment of new industry, supports existing industry expansion, and promotes trade with other nations. The office operates through the following divisions:

Administrative and Technology Division: Provides general budgetary and accounting services and all computer and data processing services, coordinates all purchasing, and maintains property records and contract files.

Recruitment Division: Responsible for attracting industry to Alabama by encouraging and promoting foreign manufacturing investment in the state and supporting expansion and retention of existing business.

Small Business Advocacy Division: Provides information on the financial assistance available to small businesses as well as help with regulatory issues. In addition, this Division works closely with the Small Business Development Consortium and in joint efforts with ADECA's Office of Minority Business Enterprise.

Trade Division: Assists Alabama businesses with expert promotion and development accomplished through state-wide professional development programs, trade missions, trade publications and distribution of point-to-point international business leads.

PERFORMANCE INDICATORS	FY 2009
Trade partners linked	397
Recruitment projects worked	
New	78
Used	123

DISTRICT ATTORNEYS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	36,119,920	100.00%
TOTAL STATE FUNDS	36,119,920	100.00%
FEDERAL & LOCAL	0	0.00%
TOTAL APPROPRIATION**	36,119,920	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$803,286.

**District Attorneys also receive county general fund money and other local funds that are not included in the General Appropriations Act.

District Attorneys are elected for six-year terms in each of the 41 judicial circuits (and the Bessemer Division of the 10th Circuit).

Section 12-17-182 of the Code of Alabama provides that district attorneys shall be paid by the state \$1,000 less than the salary paid by the state to circuit judges. The Investment In Justice Act of 1999 (Act 99-427), which provided for additional state compensation for judges for bench experience, also provided that all district attorneys be paid a state salary equal to \$1,000 less than the salary paid by the state to a circuit judge with the maximum amount of state compensation. District attorneys are paid a state salary of \$148,936.

Supernumerary district attorneys are paid a state salary of \$111,952 (\$500 less than 75% of the state salary of a circuit judge with maximum state compensation).

District attorneys do not make contributions from their salary to a retirement (or equivalent) fund. A supernumerary district attorney's salary and health insurance are paid like that of a district attorney – that is from the State General Fund appropriation to the district attorneys. The state does not pay retirement or FICA on supernumerary salaries. There are 42 district attorneys and 44 supernumerary district attorneys.

ECONOMIC AND COMMUNITY AFFAIRS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	18,697,588	3.99%
TOTAL STATE FUNDS	18,697,588	3.99%
FEDERAL & LOCAL		
Federal Stimulus Funds – Est.	163,879,909	34.94%
Federal Jobs Funds – Est.	4,853,000	1.03%
Other Federal & Local Funds.	244,653,046	52.16%
TOTAL FEDERAL AND LOCAL	413,385,955	88.14%
OTHER**	36,933,394	7.87%
TOTAL APPROPRIATION	469,016,937	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$4,160,044.

**Includes interest from investments, administrative fees from surplus property, sales receipts from data processing and graphic arts services and internal transfers of federal funds from the various programs for administration.

The Alabama Department of Economic and Community Affairs (ADECA) was established in 1983 to administer federal and state programs to help communities attract industry, create jobs, provide resources to underprivileged citizens, and aid law enforcement efforts. ADECA also plans and administers the state's energy, technology, and water resources programs and programs of the Appalachian Regional Commission and the Delta Regional Authority within the state. The Department operates through the following divisions:

Office of the Director: Comprised of the Director, ADECA's Administrative Division and the Appalachian Regional Commission. It is responsible for administering infrastructure grant programs, economic development programs, planning programs, and programs that assist the state's low income populations. Programs include the Community Development Block Grant from the U.S. Department of Housing and Urban Development and the Community Services Block Grant Program from the U.S. Department of Health and Human Services. In addition, the Office oversees the audit and review of ADECA grant recipients, recreation programs, the passport to fitness program and other resources for economic assistance.

ADECA Continued:

Communication and Information Division (CID): This division produced publications and speeches for the director, oversees special projects and serves as the legislative liaison of the department.

Energy Division: Responsible for increasing energy efficiency, promoting renewal energy, educating and assisting limited income households with energy costs and encouraging access to advanced telecommunications services for Alabama citizens.

Financial Services Division: Performs the functions of accounting, budgeting, payroll, purchasing, and property management.

Law Enforcement and Traffic Safety (LETS) Division: Administers federal funding for victims' services, law enforcement, juvenile justice and highway traffic safety programs.

Office of Water Resources (OWR): Administers programs for river basin management, river assessment, water supply assistance, water conservation, flood mapping, the National Flood Insurance Program, and water resources development.

Office of Workforce Development: The division is the administrative entity for the Alabama Service Delivery Area (ASDA) that represents all counties except Jefferson and Mobile Counties. The division through the ASDA and the Jefferson and Mobile County service delivery areas provide management and funding of WIA programs.

Surplus Property Division: Distributes and sells state agencies' excess property and surplus federal property.

PERFORMANCE INDICATORS

FY 2009

Energy Management

Workshops, energy audits, technical assistance and outreach events	1,040
Grants administered	107
Homes inspected	215

Financial Services/Communications and Information

Number of press releases	23,448
Number of service requests	1,765
Number of deployed technologies	39

Law Enforcement

Administrative management of grants awarded to subgrantees	310
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ADECA Continued:

PERFORMANCE INDICATORS

FY 2009

Office of the Director

CDBG grants funded	77
CDBG grants administered	166
Appalachian Regional Commission (ARC) projects awarded	48

Office of Workforce Development

Training grants administered	348
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Surplus Property

Number of eligible entities served	841
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Water Resources

Number of Certificate of Use holders	1,026
Number of FEMA Mapping Projects	39
Number of Community Assistance Visits (CAVs) in support of the National Flood Insurance Program (NFIP)	10

EDUCATION – DEPARTMENT OF

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund*	214,141,772	14.40%
Education Trust Fund-Transfer	1,743,801	0.12%
Driver Education & Training Fund	4,530,898	0.30%
Special Education Catastrophic Fund	2,500,000	0.17%
TOTAL STATE FUNDS	222,916,471	14.99%
FEDERAL & LOCAL		
Federal Stimulus Funds	203,642,885	13.69%
Other Federal and Local Funds	1,060,925,580	71.32%
TOTAL FEDERAL & LOCAL	1,264,568,465	85.01%
TOTAL APPROPRIATION	1,487,484,936	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$4,882,368.

The State Department of Education executes educational policies for the schools of the state as authorized by law and determined by the State Board of Education and allocates funds to local education agencies based on state law, school board regulations, and federal laws. Technical assistance and other services that are not instructional (i.e., training and research) are also provided to the local systems. In addition, the Department is responsible for administering the accountability program passed by the Legislature in 1995, including financial and academic intervention at the local level. The Department is also responsible for administering the disability determination program under an agreement with the Social Security Administration and the U.S. Department of Health and Human Services. The following conditional appropriations are included in the FY 2011 ETF appropriations act:

1	Teacher recruitment incentives	2,000,000
2	Reading Initiative	5,000,000
3	AMSTI	5,000,000
4	Distance Learning	5,000,000
5	Teacher Mentoring	1,000,000
6	Advanced Placement	<u>1,000,000</u>
		\$19,000,000

See EDUCATION K-12 FOUNDATION PROGRAM/LOCAL BOARDS for additional information about K-12 education.

EDUCATION – K-12 FOUNDATION PROGRAM/LOCAL BOARDS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund	3,550,114,309	79.33%
Public School Fund (est.)	175,532,864	3.92%
TOTAL STATE FUNDS	3,725,647,173	83.26%
FEDERAL AND LOCAL		
Federal Stimulus Funds	179,434,391	4.01%
Other Federal and Local Funds	569,802,621	12.73%
TOTAL FEDERAL AND LOCAL	749,237,012	16.74%
TOTAL APPROPRIATION	4,474,884,185	100.00%
Foundation Program (including \$3,601,028 for current units)		\$3,940,737,893
Salary Matrix Adjustment		0
Transportation Program		245,100,957
At-Risk Student Program		23,416,334
Board of Adjustment		642,501
Endowment Interest Program/Public School Fund		532,864
Capital Outlay Program/Public School Fund		175,000,000
School Nurses Program		31,244,042
Capital Outlay Program/Federal and Local Funds		54,505,553
Information Technology Coordinator		3,704,041
Fiscal Stabilization Funds		<u>179,434,391</u>
Total Appropriation		\$4,474,884,185

Education-Foundation Program/Local Boards Continued:

The following conditional appropriations were also included in the FY 2011 ETF appropriations act:

1	Transportation	\$10,000,000
2	Other Current Expense	20,000,000
3	Textbooks	5,600,000
4	Technology	<u>9,900,000</u>
		\$45,500,000

Note: The above allocation for the Transportation Program does not include any funds for fleet renewal. Such funds were included in Act 2010-720, which authorized \$33,040,170 to be distributed from a PSCA bond to local boards for fleet renewal.

For the Salary Matrix and the estimated cost of a teacher unit for FY 2011 see page 7.

Fringe Benefit Rates

Teachers' Retirement System	12.51%
FICA (Social Security)	7.65%
PEEHIP	\$752 per month

Funding Divisors	Grades K - 3	:	13.8
	Grades 4 - 6	:	21.4
	Grades 7 - 8	:	20.0
	Grades 9 - 12	:	18.0

Classroom Instructional Support Factors (2011)

Student materials	\$0.00	Per teacher unit
Textbooks	\$15.88	Per pupil
Technology	\$0.00	Per teacher unit
Professional development	\$0.00	Per teacher unit
Library enhancement	\$0.00	Per teacher unit
Common purchase	\$0.00	Per teacher unit
Other current expense	\$11,368.00	Per teacher unit
Mills of District Ad Valorem Tax equivalents required		10.00
Statewide Value of One Mill (as used in the FY 2011 Foundation Program)		\$52,088,738
Total Number of Teacher and Instructional Support Units Appropriated		48,568.97

Local Boards of Education

Number of local school boards	132
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Education-Foundation Program/Local Boards Continued:

Average daily membership K-12 Fall of 2009-10 school year	745,046.90
Number of certificated teachers Fall of 2009-10 school year (all sources of funds)*	48,164
Pupil-Teacher ratio	15.47
Total certificated personnel Fall of 2009-10 school year (all sources of funds)*	57,374
Pupil-certificated staff ratio	12.99
Total support personnel Fall of 2009-10 school year*	37,328

*Data provided by SDE LEAPS Report

EDUCATIONAL TELEVISION COMMISSION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund*	7,484,973	91.89%
TOTAL STATE FUNDS	7,484,973	91.89%
FEDERAL & LOCAL	660,873	8.11%
TOTAL APPROPRIATION	8,145,846	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$26,995.

Included within the FY 2011 ETF appropriation is a \$550,000 earmark that is to be used to replace the APT Microwave System and create a Public Safety Radio Communications Statewide Network.

The Alabama Educational Television Commission was organized to make the benefits of educational television available to the citizens of Alabama. The Commission was established in 1953. The Commission controls and supervises the use of television channels assigned for non-commercial, educational use and the programming released over such channels. The Commission is also authorized to own and operate public radio stations within the state.

The Commission is the Federal Communications Commission licensee for nine public television stations and one public radio station. The Commission has total responsibility for the statewide Alabama Public Television Network and for WLRH-FM in Huntsville. The Commission surveys, studies, and appraises the need for an overall plan to make educational television facilities and services available to the state. In addition, the Commission provides financial support for educational telecourses and other public radio stations in Alabama.

The Commission, as mandated by the Federal Communications Commission, has converted the nine public television stations from analog to digital signals. The Commission currently operates 9 digital transmitters, each of which broadcast 3 programming channels simultaneously: the regular APT programming, the APT Create channel, and the APT-IQ channel.

ENVIRONMENTAL MANAGEMENT

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	6,301,230	3.14%
Other Funds	86,864,008	43.28%
TOTAL STATE FUNDS	93,165,238	46.42%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est	32,000,000	15.94%
Federal Jobs Funds, Est	20,816,000	10.37%
Other Federal & Local Funds	54,715,617	27.26%
TOTAL FEDERAL AND LOCAL	107,531,617	53.57%
TOTAL APPROPRIATION	200,696,855	100.00%

The Department of Environmental Management regulates pollutants discharged into the air, on land, and into the water and administers grant programs designed to help municipalities and industries in the management of a healthy environment.

The Department administers the Alabama Land Recycling and Economic Redevelopment Act (Act 2001-635) providing for the voluntary assessment and/or cleanup of potentially contaminated property. This is a fee driven program in which the Department is responsible for reviewing all cleanup plans and activities to ensure they meet or exceed the requirements of environmental regulations and guidelines.

The Department oversees the Clean Water SRF, providing low interest loans to publicly owned water treatment works in order to help meet the goals of the Clean Water Act. The federal government, through the EPA, provides annual grant money which states are required to match at an 80:20 ratio in order to receive the full allotment of federal funds for the program. Since the inception of the Clean Water SRF in 1987 (loans first funded in 1989), 204 loans totaling \$965,990,500 have been funded.

Environmental Management Continued:

In addition, the Department oversees the Drinking Water SRF, providing low interest loans to improve and/or upgrade publicly owned drinking water systems in order to help meet the goals of the Safe Drinking Water Act. The program is funded in the same manner as the Clean Water SRF. Since the inception of the Drinking Water SRF in 1997 (loans first funded in 1998), 114 loans totaling over \$316,623,014 have been funded.

The Department also administers the state's underground and aboveground storage tank regulatory program funded by tank and regulatory fees and tank charges imposed upon tank owners/operators. The program works with responsible parties and ensures that storage tank releases are properly cleaned up by administering the funds in the Alabama Underground and Aboveground Storage Tank Trust Fund which serves as the financial responsibility mechanism for underground storage tank owners and operators.

The Department administers and enforces the Alabama Scrap Tire Environmental Quality Act (Act 2003-332). The law uniformly regulates scrap tire accumulations statewide from the point of generation to the point of disposal through a registration program for scrap tire receivers and a permitting system for scrap tire processors and transporters. Additionally, the Department develops a ranking system used for prioritized abatement and remediation actions for the estimated 800 illegal scrap tire dumps. Such remedial activities are funded by a \$1 fee collected at the point of sale from consumers purchasing replacement tires.

FORESTRY COMMISSION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	13,470,631	49.43%
Forestry Funds	6,241,977	22.90%
TOTAL STATE FUNDS	19,712,608	72.33%
FEDERAL & LOCAL	7,540,473	27.67%
TOTAL APPROPRIATION	27,253,473	100.00%

The Forestry Commission coordinates and administers the enhancement and protection of Alabama's forests through landowner assistance programs, urban forestry, reforestation, forestry assistance programs, and by assisting in training and acquiring equipment for volunteer fire departments in the state.

PERFORMANCE INDICATORS	FY 2009
State-owned forests managed	15,000 acres
Number of wildfires suppressed	2,197
Acres of prescribed burns (AFC)	36,592

Statewide Forest Management Accomplishments:

Program	Landowners Assisted	Impact
Tree planting	205	8,231 acres
Stand management	2,676	152,910 acres
Timber stand improvements	328	10,259 acres
Firebreak plowing	599	991 miles
Stewardship forest plans	397	53,030 acres
New stewardship forests certified	175	50,522 acres

HIGHER EDUCATION, ALABAMA COMMISSION ON

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund		
Planning and Coordination	3,424,916	13.92%
Student Financial Aid	6,366,718	25.88%
Support of Other Educational Activities	2,844,479	11.56%
Alabama Agricultural Land Grant Alliance	6,181,869	25.13%
Alabama Teacher Recruitment Incentive Program	1,084,982	4.41%
TOTAL STATE FUNDS*	19,902,964	80.90%
FEDERAL & LOCAL	4,699,380	19.10%
TOTAL APPROPRIATION	24,602,344	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$1,986,111.

The Alabama Commission on Higher Education serves in an advisory capacity to the Legislature and the Governor in respect to postsecondary education matters and administers student financial aid programs. The Commission's activities are divided into five appropriation units as follows:

A. Planning and Coordination Services Program
(FY 11 - \$2,964,783 ETF)

1. The development of Unified Budget Recommendations.
2. The review of all new and existing programs in instruction, research, and public service, and the enforcement of viability standards for programs.
3. The development and maintenance of the State College, University, and Faculty Information System.
4. The coordination of statewide higher education special studies and long range planning projects.
5. The review of new facilities and new construction projects.

B. Student Financial Assistance Program
(FY 11 - \$6,063,759 ETF; \$9,017,139 total)

1. Alabama National Guard Educational Assistance Program - provides tuition assistance to guardsmen to pursue undergraduate and, to a limited extent, graduate degrees. (FY 09 – 759 awards, \$628 average award, total \$476,691) (FY 11 - \$601,694 ETF).

Higher Education Continued:

2. Alabama Student Assistance Program - state supported grant program designed to assist financially needy students. (FY 09 – 11,520 awards, \$685 average award, total \$7,896,420) (FY 11 - \$2,896,855 ETF).
 3. Alabama Student Grant Program - provides assistance for residents enrolled in independent, non-profit Alabama Colleges and Universities. (FY 09 – 7,312 awards, \$331 average award, total \$2,423,687) (FY 11 - \$2,320,975 ETF).
 4. Police Officers/Firefighters Survivor's Education Assistance Program - provides assistance for undergraduate study for dependents and spouses of police officers and firefighters killed, or totally disabled, in the line of duty. (FY 09 – 17 awards, \$4,173 average award, total \$70,941) (FY 11 - \$159,320 ETF).
 5. Chiropractic Scholarships – provides scholarship assistance to financially needy Alabama residents who are enrolled in accredited chiropractic colleges. (FY 09 – 10 awards, \$2,970 average award, total \$29,700) (FY 11 - \$29,415 ETF).
 6. Washington Center Internship Program – provides selected college students the chance to live and work in Washington D.C. for academic credit. (FY 11 - \$55,000 ETF).
- C. Support of Other Educational Activities Program
(FY 11 - \$2,706,442 ETF; \$4,377,442 total)
1. Southern Regional Education Board - includes funding for Minority Doctoral Scholars Program, Academic Common Market and various other scholarships (FY 11 - \$671,124 ETF).
 2. Statewide Computer-Based Articulation System – provides funds to Troy University for the development and operation of a statewide computer-based articulation system (FY 11 - \$402,563 ETF).
 3. Experimental Program to Stimulate Competitive Research (EPSCOR) - merit based programs sponsored by the state and various other entities to enhance the science and engineering research, education and technology capabilities of Alabama (FY 11 - \$1,227,543 ETF).
 4. Network of Alabama Academic Libraries (NAAL) - coordinates the sharing of Library resources supporting graduate education and research (FY 11 - \$323,505 ETF).
 5. School and University Partnership for Education Renewal Program (SUPER) – provides graduate-level professional development to grades 3-12 teachers (public and private), school librarians and administrators (FY 11 - \$81,707).
- D. Alabama Agricultural Land Grant Alliance Program
(FY 11 - \$6,181,869 ETF; \$6,256,869 total)

HIGHER EDUCATION SENIOR INSTITUTIONS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund	1,027,233,451	18.50%
TOTAL STATE FUNDS	1,027,233,451	18.50%
FEDERAL AND LOCAL		
Federal Stimulus Funds	101,008,041	1.82%
Other Federal and Local Funds (includes tuition and fees)	4,425,593,754	79.69%
TOTAL FEDERAL & LOCAL	4,526,601,795	81.50%
TOTAL APPROPRIATION	5,553,835,246	100.00%

FY 2011 Appropriations by Institution

<u>Senior Institution</u>	<u>ETF</u>	<u>Federal & Local</u>	<u>Total</u>
Alabama A&M University			
O&M	31,500,685	63,905,086	95,405,771
Other	5,636,147	49,211,238	54,847,385
Fiscal Stabilization Funds		3,360,117	3,360,117
Total	37,136,832	116,476,441	153,613,273
Alabama A&M / Miles College			
Total	370,339		370,339
Alabama State University			
O&M	41,470,136	66,868,202	108,338,338
Other	2,098,211	50,466,121	52,564,332
Fiscal Stabilization Funds		3,259,875	3,259,875
Total	43,568,347	120,594,198	164,162,545
University of Alabama System			
UAT O&M	141,234,833	325,049,134	466,283,967
UAT Fiscal Stabilization Funds		14,699,372	14,699,372
UAB O&M	253,137,500	1,498,641,116	1,751,778,616
UAB Fiscal Stabilization Funds		24,911,521	24,911,521
UAH O&M	42,082,006	67,826,644	109,908,650
UAH Fiscal Stabilization Funds		4,184,044	4,184,044
Special Mental Health-UAB	3,512,347		3,512,347
UAB Cancer Center	4,177,863		4,177,863
Other	3,451,961	691,738,622	695,190,583
Total	447,596,510	2,627,050,453	3,074,646,963

Senior Institutions Continued:

FY 2011 Appropriations by Institution

<u>Senior Institution</u>	<u>ETF</u>	<u>Federal & Local</u>	<u>Total</u>
Auburn University System			
AU O&M	155,771,082	368,088,307	523,859,389
AU Fiscal Stabilization Funds		16,902,753	16,902,753
AAES O&M	30,968,224	36,643,531	67,611,755
AAES Fiscal Stabilization Funds		3,251,045	3,251,045
ACES O&M	32,045,864	28,941,604	60,987,468
ACES Fiscal Stabilization Funds		3,625,026	3,625,026
AUM O&M	22,133,092	52,710,434	74,843,526
AUM Fiscal Stabilization Funds		2,104,584	2,104,584
Other	1,856,438	198,676,767	200,533,205
Total	242,774,700	710,944,051	953,718,751
Athens State University			
O&M	11,142,158	15,274,646	26,416,804
Other		18,742,414	18,742,414
Fiscal Stabilization Funds		964,566	964,566
Total	11,142,158	34,981,626	46,123,784
Jacksonville State University			
O&M	35,077,367	54,259,501	89,336,868
Other	2,196,648	13,899,996	16,096,644
Fiscal Stabilization Funds		3,343,660	3,343,660
Total	37,274,015	71,503,157	108,777,172
University of Montevallo			
O&M	17,792,894	24,106,656	41,899,550
Other		14,524,261	14,524,261
Fiscal Stabilization Funds		1,615,160	1,615,160
Total	17,792,894	40,246,077	58,038,971
University of North Alabama			
O&M	25,105,160	42,466,199	67,571,359
Other		16,446,061	16,466,061
Fiscal Stabilization Funds		2,214,246	2,214,246
Total	25,105,160	61,126,506	86,231,666
University of South Alabama			
O&M	99,647,234	431,578,337	531,225,571
Other	300,000	44,886,660	45,186,660
Fiscal Stabilization Funds		10,768,819	10,768,819
Total	99,947,234	516,347,924	616,295,158

Senior Institutions Continued:

FY 2011 Appropriations by Institution

<u>Senior Institution</u>	<u>ETF</u>	<u>Federal & Local</u>	<u>Total</u>
Troy University			
O&M	44,871,448	178,316,821	223,188,269
Other	221,062	25,986,486	26,207,548
Fiscal Stabilization Funds		4,599,282	4,599,282
Total	45,092,510	208,902,589	253,995,099
University of West Alabama			
O&M	12,130,877	12,805,807	24,936,684
Other	1,806,133	4,418,995	6,225,128
Fiscal Stabilization Funds		1,203,971	1,203,971
Total	13,937,010	18,428,773	32,365,783
Knight v. Alabama			
Total	5,495,742		5,495,742
Grand Total	1,027,233,451	4,526,601,795	5,553,835,246

Federal & Local funds include Tuition and Fees.

Other appropriations include line items other than Operations and Maintenance and Auxiliary Enterprises and Restricted Funds.

HIGHER EDUCATION TWO-YEAR COLLEGE SYSTEM

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund		
Operations and Maintenance	277,330,343	30.93%
Prison Education	8,602,360	0.96%
Postsecondary Education		
Department*	10,515,238	1.17%
Adult Education Program	13,220,516	1.47%
Special Populations Training	5,260,118	0.59%
Mine Safety Training Program	245,122	0.03%
Truck Driving Training	255,914	0.03%
Workforce Development	5,636,146	0.63%
Marion Military Institute	6,056,899	0.68%
Alabama Technology Network	4,900,124	0.01%
English as a Second Language	100,000	0.01%
TOTAL STATE FUNDS	332,122,780	37.04%
FEDERAL & LOCAL		
Federal Stabilization Funds	17,735,504	1.98%
Other Federal & Local (includes tuition and fees)	546,825,504	60.98%
TOTAL FEDERAL & LOCAL	564,561,008	62.96%
TOTAL APPROPRIATION	896,683,788	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$4,780,258.

Higher Education-Two-Year College System Continued:

Institutions	Estimated FY 2011 O&M Allocation: ETF	Estimated FY 2011 O&M Allocation: Fiscal Stabilization Funds	Estimated FY 2011 Prison Ed Allocation	Total Estimated FY 2011 Allocation
Alabama Southern	6,747,222	429,484		7,176,706
Bevill	16,557,763	1,053,943		17,611,706
Bishop	14,854,776	945,690		15,800,466
Calhoun	20,044,725	1,250,371	783,724	22,078,820
Central Alabama	8,214,825	522,931		8,737,756
Chattahoochee	5,403,444	337,123		5,740,567
Drake	3,803,697	237,357		4,041,054
Enterprise-Ozark	8,726,762	544,276		9,271,038
Faulkner	9,891,365	617,453		10,508,818
Gadsden	23,137,097	1,453,956	583,857	25,174,910
Ingram	3,218,108	204,336	3,036,920	6,459,364
Jefferson Davis	5,102,639	318,232	945,073	6,365,944
Jefferson State	20,481,401	1,253,814		21,735,215
L.B.Wallace	7,140,410	454,385		7,594,795
Lawson	14,530,000	924,847	486,014	15,940,861
Northeast	6,259,147	390,446		6,649,593
Northwest-Shoals	11,686,447	714,607		12,401,054
Reid	4,251,156	270,564		4,521,720
Shelton	19,039,634	1,132,448		20,172,082
Snead	5,866,691	366,112		6,232,803
Southern Union	13,987,632	873,180		14,860,812
Trenholm	9,843,471	626,775		10,470,246
Wallace-Dothan	14,730,928	937,482	639,710	16,308,120
Wallace-Hanceville	15,599,759	973,366		16,573,125
Wallace-Selma	8,211,244	523,015		8,734,259
Marion Military	6,056,899	379,311		6,436,210
TOTAL	283,387,242	17,735,504	6,475,298	307,598,044

HISTORICAL COMMISSION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	3,617,070	30.65%
Other Departmental Receipts	6,313,764	53.50%
TOTAL STATE FUNDS	9,930,834	84.15%
FEDERAL & LOCAL	1,870,802	15.85%
TOTAL APPROPRIATION	11,801,636	100.00%

The Historical Commission surveys and maintains inventory of all sites and structures over 50 years old, nominates to the National Register the most important sites and structures while including significant sites on the Alabama Register, maintains environmental review and compliance program where federal funds are involved, reviews and certifies tax act projects, processes grants, promotes historic preservation, manages Main Street Downtown Revitalization programs and maintains historic properties owned by the Commission.

Visitors to properties maintained by the Historical Commission during FY 2008-2009:

Gaineswood	2,740
Fendall Hall	3,429
Confederate Park	10,147
Magnolia Grove	672
Fort Toulouse/Jackson	115,499
Fort Morgan	67,267
Belle Mont	1,514
Cahawba	22,625
State Capitol	107,447
Pond Spring (only grounds and out buildings open to groups by appointment)	228

HUMAN RESOURCES

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	97,545,917	5.11%
Education Trust Fund	12,952,966	0.68%
Other	144,540,251	7.57%
TOTAL STATE FUNDS	255,039,134	13.36%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est.	43,555,235	2.28%
Federal Jobs Funds, Est.	3,728,721	.20%
Federal & Local	1,606,420,933	84.16%
TOTAL FEDERAL AND LOCAL	1,653,704,889	86.64%
TOTAL APPROPRIATION	1,908,744,023	100.00%

*This amount does not include the \$5,000,000 conditional appropriation from the State General Fund.

The Department of Human Resources (DHR) was created in 1935 as the principal social service agency in the state.

Selected Department Statistics

FY 2009

Temporary Assistance to Needy Families - (TANF) families served (monthly average)	18,754
Adoption services – children placed	676
Child care for children – number of slots	30,005
Food assistance – households - (monthly average)	280,625
Value of food stamps - (monthly average per household)	\$288.01

Average Monthly Payments (as of September 2009)

TANF per case	\$187.40
Child care – payment per child	\$250.15
Foster Care Board – payment per child	\$416.28

INDUSTRIAL RELATIONS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	2,350,326	2.32%
Professional Employer Organization Registration Fund	88,949	0.09%
TOTAL STATE FUNDS	2,439,275	2.40%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est	8,479,843	8.36%
Other Federal & Local Funds	90,542,421	89.24%
TOTAL FEDERAL & LOCAL	99,022,264	97.60%
TOTAL APPROPRIATION	101,461,539	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$207,101.

The Department of Industrial Relations is responsible for administering Employment Service, Unemployment Compensation, Worker's Compensation, Labor Market Information and Mining and Reclamation Safety. Under the federal Wagner-Peyser Act, the Employment Service Division provides statewide public labor exchange activities for people seeking jobs and individuals who are eligible to receive unemployment compensation. Industrial Relations also oversees the reclamation of land and water resources adversely affected by past coal mining, enforces safety requirements of state mining laws, and oversees the registration of Professional Employer Organizations (PEOs).

PERFORMANCE INDICATORS

FY 2009

Unemployment Compensation

Initial claims	506,630
Maximum weekly benefit amount payable	\$265
Minimum weekly benefit amount payable	\$45
Gross benefit paid	\$1,169,400,505

Unemployment Tax Contributions

Number of employers	87,026
Balance of U.C. Trust Fund (as of 12/31/09)	(\$142,499,875)

Employment Service

Number of individuals served	479,517
Number of new job openings received	85,240

Workers' Compensation Program

Total compensation payments recorded	\$680,254,612
Maximum weekly compensation payable	\$740*
Minimum weekly compensation payable	\$204*

Mining and Reclamation

Number of coal and non-coal mines inspected	2,894
Number of mining sites reclaimed	42

*Denotes actual number for calendar year 2009

INSURANCE DEPARTMENT

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Fire Marshal's Fund	723,717	4.35%
Examination Revolving Fund	5,089,623	30.60%
Insurance Department Fund	10,536,815	63.36%
Service Contract Fund	30,000	0.18%
Reduced Cigarette Ignition Propensity Standards and Firefighters Protection Fund	250,000	1.50%
TOTAL APPROPRIATION	16,630,155	100.00%

The Insurance Department is the regulatory agency for insurers operating in Alabama. Responsibilities include examination and licensing of agents and collection of the insurance premium tax.

During FY 2009 receipts from the insurance premium tax were distributed as follows: \$231,818,905 to the State General Fund; \$30,993,296 to the Education Trust Fund; and \$4,525,338 to the Mental Health Trust Fund.

- Domestic and foreign property/casualty insurers pay premium taxes at the rate of 3.6% of premiums from business done in the state except: (1) property and multi-peril insurance written in fire protection classes 9 and 10 and mobile homes, homeowners and low value dwelling policies with a face value of \$40,000 or less are taxed at a rate of 1%; and (2) medical liability insurers are taxed at a rate of 1.6%.
- Domestic and foreign life insurers pay premium taxes at a rate of 2.3% except: (1) individual life insurance policies with a face value greater than \$5,000 and up to and including \$25,000 are taxed at 1%; (2) individual life insurance policies with a face value of \$5,000 or less are taxed at 0.5%; and (3) annuity considerations are not taxed.
- Domestic and foreign health and accident and health insurers pay premium taxes at a rate of 1.6% except: (1) premiums for hospital, medical, surgical or other health care benefits provided for employer sponsored groups with less than 50 participants are taxed at 0.5%; and (2) premiums for insurance benefits supplementary to Medicare and Medicaid are not taxed.
- Surplus line brokers pay premium taxes at a rate of 6% on direct premiums, less return premiums and sums collected to cover state or federal taxes, on surplus line insurance transacted during the preceding calendar year, computed only on the proportion of premiums allocable to risk or exposure located in Alabama.

Insurance Department Continued:

- Pursuant to Act 2006-509, the Department now regulates captive insurance companies, whose premiums are taxed, depending upon the amount of premiums written, at a rate ranging from .025% to .4%, with a minimum annual tax of \$5,000. Pursuant to Act 2008-391, captive insurance companies may provide homeowner's insurance coverage in the gulf front, beach and seacoast areas as designated by the Insurance Services Office, Inc.
- Insurers may qualify for insurance premium tax credits if they make certain types of expenditures such as: (1) contribution of certified capital to an ADO-certified CAPCO; (2) assessments paid as part of the Alabama Health Insurance Program (AHIP) or as part of an insurance guaranty fund; or (3) payment of ad valorem, privilege or county taxes or insurance department examination expenses.

Top Property & Casualty Insurers in Alabama				
Insurer	Premiums Written	Market Share	Premium Taxes Paid	Effective Premium Tax Rate
Alfa Mutual Ins. Co.	\$632,119,000	10.38%	\$9,136,342	1.45%
State Farm Mutual Automobile Ins. Co.	\$530,009,000	8.70%	\$12,469,910	2.35%
State Farm Fire & Casualty Ins. Co.	\$436,026,000	7.16%	\$10,257,679	2.35%
Allstate Ins. Co.	\$163,479,000	2.68%	\$5,346,543	3.27%
Progressive Specialty Ins. Co.	\$136,420,000	2.24%	\$3,360,306	2.46%
Allstate Property & Casualty Ins. Co.	\$111,765,000	1.83%	\$4,052,826	3.63%
United Services Automotive	\$106,164,000	1.74%	\$2,471,320	2.33%
Cincinnati Ins. Co. Assn.	\$102,198,000	1.68%	\$3,557,364	3.48%
Allstate Indemnity Co.	100,485,000	1.65%	\$3,562,452	3.55%
Auto Owners Insurance Co.	\$97,815,000	1.61%	\$2,901,840	2.97%

*The information above is for the 2009 calendar year.

MEDICAID

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	345,310,381	6.64%
Departmental Receipts and Intragovernmental Transfers	303,704,538	5.84%
Alabama Health Care Trust	267,386,923	5.14%
Certified Public Expenditures	370,751,660	7.13%
Drug Rebates	34,914,364	0.67%
Medicaid Trust Fund	35,188,399	0.68%
TOTAL STATE FUNDS	1,357,256,265	26.11%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est.	105,279,226	2.03%
Federal Jobs Funds, Est.	163,740,550	3.15%
Other Federal & Local Funds	3,572,075,922	68.71%
TOTAL FEDERAL & LOCAL	3,841,545,698	73.89%
TOTAL APPROPRIATION	5,198,801,963	100.00%

*This amount does not include the \$30,000,000 conditional appropriation from the State General Fund.

The Alabama Medicaid Agency is the sole state agency having responsibility for administering Title XIX of the Social Security Act. Title XIX provides for certain medical services for low-income persons who are categorically eligible. The Medicaid program is funded with federal funds, which must be matched by state funds at a ratio of 78.00:22.00 in 1st quarter of FY 2011; 75.17:24.83 in 2nd quarter of FY 2011; 73.29:26.71 in 3rd quarter of FY 2011 and 68.54:31.46 in 4th quarter of FY 2011. This includes Alabama's Federal Medical Assistance Percentage (FMAP) of 68.54% plus 9.46% increase in FMAP enhancement in the 1st quarter of 2011; 6.63% increase in the 2nd quarter of 2011; 4.75% increase in 3rd quarter of 2011; and no enhancement in the 4th quarter of 2011. Medicaid provides no direct services but provides allowable reimbursement for covered services to those providing medical services to Medicaid eligible persons. Persons must fit into one of several categories and meet certain criteria before being determined Medicaid eligible. Eligibles include:

- Persons receiving SSI.
- Persons receiving assistance through certain programs administered by the Department of Human Resources.
- Certain pregnant women and children, including those with incomes below 133% of poverty (commonly referred to as SOBRA), and foster children in the custody of the state.
- Certain residents of medical facilities (nursing homes, hospitals, or state owned mental illness facilities).
- Some low-income Medicare beneficiaries may qualify to have their premiums, deductibles, and buy-ins paid by Medicaid (referred to as Qualified Medicare Beneficiaries or QMB's).

Medicaid Continued:

PERFORMANCE INDICATORS	FY 2009 Actual	FY 2010 Estimated
Number of Nursing Home Days		
Nursing home	6,297,605	6,234,288
Number of Claims		
Hospital Care		
Inpatient	165,259	186,834
Outpatient	1,240,328	1,457,210
Pharmacy	7,844,949	8,750,734
Physician	4,149,070	4,630,944
Health Support		
Eye exams	202,062	218,090
Eyeglasses	139,036	155,522
Child Screenings	400,409	426,389

DEPARTMENT OF MENTAL HEALTH

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	98,010,860	11.18%
Education Trust Fund	27,575,395	3.15%
Special Mental Health Trust Fund	204,000,000	23.27%
Other	6,711,610	0.77%
TOTAL STATE FUNDS	336,297,865	38.36%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est.	10,584,012	1.20%
Federal Jobs Funds, Est.	20,923,576	2.39%
Other Federal & Local Funds	508,945,469	58.05%
TOTAL FEDERAL & LOCAL	540,453,057	61.64%
TOTAL APPROPRIATION**	876,750,922	100.00%

*This amount does not include the \$35,000,000 conditional appropriation from the State General Fund.

**This amount does not include the conditional appropriation, in an amount up to \$82,000,000, from the Mental Health Capital Improvement Account.

The Department of Mental Health and Mental Retardation is the State's designated agency to provide services to those individuals with mental illness, mental retardation, and/or substance abuse disorders. The Department operates psychiatric hospitals and a developmental center. Services in local communities are offered through contracts with over 400 community providers.

PERFORMANCE INDICATORS	FY 2009
Mental Illness:	
Number of hospitals	6
Total bed capacity for state operated facilities	1,004
Number of patients served in the community	96,066
Number of community health centers with at least one year certification	26
Intellectual Disabilities:	
Number of people served in a facility	191
Number of people served in the community	6,153
Average daily expenditure per person (community)	\$125.49
Average daily expenditure (facility)	\$543.93
Number served from waiting list	345
Number of providers achieving two-year certification	120

Mental Health Continued:

Substance Abuse (SA) Treatment Program:

Number of people served in the treatment program	25,643
Number of people served in the prevention program	38,904
Average annual cost per person in SA treatment	\$1,071
Average annual cost per person for SA prevention	\$119
Number of counties that offer SA services	44

MILITARY DEPARTMENT AND ARMORY COMMISSION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Military Department Billeting Revolving Fund	918,,000	1.03%
TOTAL STATE FUNDS	918,000	1.03%
FEDERAL & LOCAL	88,091,438	98.97%
TOTAL APPROPRIATION	89,009,438	100.00%

The Military Department and Armory Commission provide guidance, policy directives and direction that support preparation of Alabama National Guard Units for duty in both their Federal and state missions.

Mobilized military personnel total (includes members of Alabama-based military units mobilized as of August 1, 2008, per the U.S. Department of Defense):

 111 Air National Guard/Air Force Reserves

 2,604 Army National Guard/Army Reserves

 38 Navy Reserves

 Total Mobilized Force = 2,753 (does not include personnel from three mobilized Alabama-based marine corps reserve units as their individual personnel totals are unavailable).

As of July 31, 2010, Alabama had:

 104 Army National Guard Units

 5 Air National Guard Units

 At least one National Guard unit in 62 counties

 Total National Guard Troop Force = 13,734

Since September 11, 2001, more than 13,000 Alabama Army and Air Guard personnel have been called to active duty.

OIL AND GAS BOARD

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	3,203,230	82.31%
Surety Bonds Deposits	20,000	0.51%
OGB Special Fund	668,522	17.18%
TOTAL STATE FUNDS	3,891,752	100.00%
FEDERAL & LOCAL	0	0.00%
TOTAL APPROPRIATION	3,891,752	100.00%

The Oil and Gas Board is responsible for the management and regulation of oil and gas exploration and development including: field monitoring of all petroleum drilling and production activities; compiling, storing and disseminating exploration and production data; preparing petroleum geologic maps; conducting special geologic and engineering studies; water quality monitoring of oil and gas fields; and providing direct assistance to other state agencies and Alabama citizens in oil and gas matters.

Alabama Totals

	FY 2009
Barrels of oil and condensate produced	\$7,411,804
Natural gas production (cubic ft.)	267.16 billion
Oil and Gas Severance Tax collections	\$110,994,949

PARDONS AND PAROLES

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	32,172,208	75.48%
Probationer's Upkeep Fund	10,153,000	23.82%
TOTAL STATE FUNDS	42,325,208	99.30%
FEDERAL & LOCAL	300,000	0.70%
TOTAL APPROPRIATION	42,625,208	100.00%

PERFORMANCE INDICATORS

FY 2009

Parole consideration interviews	7,924
Paroles granted	3,280
Paroles denied	4,644
Parole violation hearings	1,134
Probation cases supervised	56,338
Parole cases supervised	11,002
Probation and parole cases supervised	239
Probation and parole cases supervised for other states	3,613
Probation and parole investigations completed	80,470
Pardons and/or restoration of civil and political rights granted	511
Streamlined Voting Rights	3,423
Number of field offices in state (including the two transition centers)	64

PUBLIC HEALTH

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	89,590,824	10.70%
Education Trust Fund	14,539,333	1.74%
Other	46,166,691	5.52%
TOTAL STATE FUNDS	150,296,848	17.96%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est	14,628,370	1.75%
Federal Jobs Funds, Est	5,141,123	.61%
Other Federal & Local Funds	667,042,587	79.68%
TOTAL FEDERAL & LOCAL	686,812,080	82.04%
TOTAL APPROPRIATION	837,108,928	100.00%

*This amount does not include a \$5,000,000 conditional appropriation.

*This amount does not include a \$3,100,000 conditional appropriation for infant mortality.

The Department of Public Health is responsible for controlling disease, providing medical services and enforcing public health laws.

PERFORMANCE INDICATORS

FY 2009

Family planning visits	216,969
Maternity patient visits	1,780
WIC participants (average per month)	140,810
STD visits	56,906
Food service inspections	59,033

Children's Health Insurance Program (CHIP):

CHIP uses state funds to access federal dollars in order to provide health insurance to children ages 0 to 19 who are not eligible for Medicaid but whose household income is below 300% of the federal poverty level. Coverage is through ALL KIDS a private insurance product provided through private insurance companies.

PERFORMANCE INDICATORS

FY 2009

CHIP year-end enrollment	68,440
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PUBLIC LIBRARY SERVICE

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Education Trust Fund	7,460,157	81.35%
TOTAL STATE FUNDS	7,460,157	81.35%
FEDERAL & LOCAL	1,709,984	18.65%
TOTAL APPROPRIATION	9,170,144	100.00%

The Alabama Public Library Service was established to develop a cooperative system of providing books and library services for the various municipalities and counties of the state. In addition, the Service is responsible for receiving and administering federal funds that are provided for libraries; provides job-related library service to state government employees and agencies; and serves as a regional library for the handicapped. In addition, the Library Service is the fiscal agent for the Alabama Virtual Library Project and sponsors the homeworkalabama.org website.

Fiscal Year	Total Appropriation Public Library Service	Amount Earmarked for State Aid to Local Libraries	Per Capita State Aid
04-05	\$9,985,447	\$4,141,608	0.923
05-06	10,954,314	4,725,608	1.050
06-07	11,863,834	5,090,608	1.125
07-08	13,316,999	5,450,608	1.185
08-09	11,587,634	5,090,608	1.099
09-10	10,471,160	4,496,645	1.037
10-11	9,170,141	4,159,397	1.130

PUBLIC SAFETY

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	75,044,040	43.48%
ABI Fund	176,000	0.10%
AFIS Fund**	5,000,000	2.90%
Highway Traffic Safety Fund	22,500,000	13.04%
Motor Vehicle Replacement Fund	675,000	0.39%
Public Road and Bridge Fund	3,500,000	2.03%
Transfer from Public Road and Bridge Fund	21,000,000	12.17%
TOTAL STATE FUNDS	127,895,040	74.09%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est.	405,500	.23%
Federal & Local	44,310,934	25.67%
TOTAL FEDERAL & LOCAL	44,716,434	25.91%
TOTAL APPROPRIATION	172,611,474	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$7,010,015.

**Automated Fingerprint Identification System (AFIS).

The Department of Public Safety consists of the following Divisions: Administrative, Alabama Bureau of Investigation (ABI), Driver License, Highway Patrol, Support Services, and Protective Services.

PERFORMANCE INDICATORS	FY 2009
Stolen vehicles recovered	120
Arrest tickets issued	568,509
Warning tickets issued	70,163
Polygraph exams conducted	128
Accidents investigated	30,904
Motorists assisted	18,271
Commercial vehicles inspected	33,360
Investigations conducted	1,001
Number of persons arrested	18,271
Overweight truck arrests	7,850
Marijuana plants seized or destroyed	45,084
Number of license issued	844,380
Drivers license exams conducted	216,951
Traffic fatalities	583
Assist to law enforcement agencies	2,875
Miles driven	16,060,510

PUBLIC SERVICE COMMISSION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Departmental Receipts	11,000	0.06%
Gas Pipeline Safety Funds	871,859	5.10%
Public Service Commission Funds	12,402,787	69.39%
Public Service Commission Fund-Transfer to State General Fund	3,823,000	21.39%
TOTAL STATE FUNDS	17,108,546	95.72%
FEDERAL & LOCAL		
Other Federal & Local Funds	765,000	4.28%
TOTAL FEDERAL & LOCAL FUNDS	765,000	4.28%
TOTAL APPROPRIATION	17,873,646	100.00%

The Public Service Commission (PSC) regulates transportation and utility companies to ensure that services performed, facilities available and rates charged are equitable. The PSC does not regulate municipal and cooperative utilities. The PSC has an Administrative Service Program and a Regulatory Services Program that includes the following divisions: (1) Energy, (2) Telecommunications, (3) Transportation, and (4) Gas Pipeline Safety. The PSC participates in public hearings involving regulated companies and prepares orders for commission action.

PERFORMANCE INDICATORS

FY 2009

Commission orders issued	1,161
Public hearings held	45
Report and recommended orders issued	3

Inspections:

Power plants/gas systems	10
Telephone equipment	7,914
Gas pipeline safety	1,151
Railway track (miles) inspected	1,503
Units of rolling stock inspected	7,764
Railroad Records	789
Locomotives	153

RETIREMENT SYSTEMS

TEACHERS' RETIREMENT SYSTEM

Fiscal Year	Employer Contributions	Employee Contributions	Net Investment Income	Total Receipts
9/30/2005	329,193,699	262,856,154	1,850,676,120	2,442,725,973
9/30/2006	413,975,448	281,455,579	1,587,306,061	2,282,737,088
9/30/2007	519,246,873	305,085,899	3,317,901,823	4,142,234,595
9/30/2008	706,491,066	327,004,024	(3,330,707,332)	(2,297,212,242)
9/30/2009	728,821,955	323,706,850	(1,479,706,947)	(427,178,142)

As of 9/30/2009

Members	137,935
Retirees - includes DROP participants	74,621

See page 12 for employer contribution rate

EMPLOYEES' RETIREMENT SYSTEM

Fiscal Year	Employer Contributions	Employee Contributions	Net Investment Income	Total Receipts
9/30/2006	246,192,889	172,928,822	706,820,810	1,125,942,521
9/30/2007	266,966,342	184,139,935	1,600,317,459	2,051,423,736
9/30/2008	312,566,970	191,654,891	(1,570,346,606)	(1,066,124,745)
9/30/2009	444,394,596	210,280,305	(885,230,902)	(230,556,001)

As of 9/30/2009

Members –excludes local employees	32,659
Retirees - includes DROP participants, excludes local retirees	20,195

See page 12 for employer contribution rate

Retirement System Continued:

JUDICIAL RETIREMENT SYSTEM

Fiscal Year	Employer Contributions	Employee Contributions	Net Investment Income	Total Receipts
9/30/2006	8,374,279	2,496,734	20,853,353	31,724,366
9/30/2007	8,766,726	2,635,984	34,583,273	45,985,983
9/30/2008	9,340,101	2,703,825	(38,313,579)	(26,269,653)
9/30/2009	9,786,785	2,700,377	769,638	13,256,800

As of 9/30/2009

Members	342
Retirees and beneficiaries	320

See page 12 for employer contribution rate

REVENUE

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund	288,042	.21%
Revenue Administrative Fund	132,347,516	97.64%
TOTAL STATE FUNDS	132,635,558	97.85%
FEDERAL & LOCAL	2,910,221	2.15%
TOTAL APPROPRIATION	135,545,779	100.00%

The Department of Revenue collected a total of \$8,197,088,601 in state taxes for the fiscal year ending September 30, 2010. A portion of this collection is appropriated from the Revenue Administrative Fund for the operation of the Department.

SECURITIES COMMISSION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Industrial Revenue Bond Notification Fund	15,000	0.18%
Sale of Checks Fund	50,000	0.60%
Securities Commission Fund	6,789,930	81.27%
Securities Commission Fund- Transfer to State General Fund	1,500,000	17.95%
TOTAL STATE FUNDS	8,354,930	100.00%
FEDERAL & LOCAL	0	0.00%
TOTAL APPROPRIATION	8,354,930	100.00%

The Securities Commission is responsible for the enforcement of laws governing the registration of broker dealers, broker dealer agents, investment advisors, investment advisor representatives and the issuance, sale and other transactions relative to securities, industrial revenue bonds and the sale of checks and money orders. The Commission is funded from trust funds comprised of filing and application fees.

PERFORMANCE INDICATORS	FY 2009
Broker/Dealer agents licensed	120,095
Restricted Agents/Issuer licensed	275
Broker Dealers licensed	1,752
Investment Advisors licensed/notice filed	1,104
Investment Advisor Representatives licensed	5,006
Number of exemptions	8,068
Sale of checks licenses	121
Indictments obtained	17
Arrests made	23
Convictions obtained	24
Securities issued	26
Industrial Development Bond issues (IDB's)	17
Cases under review or investigation	458

SENIOR SERVICES

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	7,487,684	6.71%
General Fund-Medicaid Waiver	7,114,901	6.38%
General Fund-SenioRx Alabama	2,209,692	1.98%
TOTAL STATE FUNDS	16,812,277	15.07%
FEDERAL & LOCAL		
Federal Stimulus Funds, Est.	890,454	0.80%
Federal Jobs Funds, Est.	1,701,401	1.53%
Federal & Local	92,135,119	82.60%
TOTAL FEDERAL & LOCAL	94,726,974	84.93%
TOTAL APPROPRIATION	111,539,251	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$921,314.

*This amount does not include the \$2,500,000 conditional appropriation from the State General Fund.

The Department of Senior Services, formerly the Commission on Aging, through grants and contracts with 13 Area Agencies on Aging, administers programs funded as a result of the Older American's Act of 1965. In addition, the Department administers one-half of the state's Medicaid Waiver Program - a home and community-based treatment program as an alternative to institutionalization for the state's older persons who are Medicaid eligible.

PERFORMANCE INDICATORS	FY 2009
Older persons served (unduplicated)	88,442
Congregate meals served	2,138,513
Home delivered meals served	1,897,886
Transportation	1,829,657
Legal assistance	14,720
SenioRx	FY 2009
Number of persons served (unduplicated)	7,315
Prescriptions submitted	48,535
Savings to Alabama seniors	\$22.5 million

TOURISM DEPARTMENT

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund – Film Commission*	134,500	1.00%
General Fund Transfer	2,120,000	15.75%
Lodgings Tax	11,204,808	83.25%
TOTAL STATE FUNDS	13,459,308	100.00%
FEDERAL & LOCAL	0	0.00%
TOTAL	13,459,308	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$15,700.

The Tourism Department is responsible for the promotion of tourism in Alabama. The Department works with the Center for Business and Economic Development at Auburn University at Montgomery to study the economic impact of the tourism industry in the state. In addition, the Department is also responsible for promotion of the State of Alabama to the film and video industry as a site for on-location production for feature films, television, music videos and industrial and corporate training films and commercials. The Department also serves as the fiscal agent for the Alabama Broadband Initiative.

PERFORMANCE INDICATORS	FY 2009
Employment derived from tourist activity (full-time)	162,464 jobs
Income impact of tourist expenditures	\$3.7 billion
Tourism-generated tax revenue (state)	\$484.8 million
Tourism-generated tax revenue (local)	\$194.4 million
Estimated tourist expenditures	\$9.3 billion

Source: Auburn University Montgomery Center for Business and Economic Development.

TRANSPORTATION

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
Public Road and Bridge Fund	431,757,580	24.72%
Public Road and Bridge- Transfer to Public Safety	24,500,000	1.40%
Airport Development Fund	31,320,681	1.79%
Public Road and Bridge – Transfer to Administrative Office of Courts	25,000,000	1.43%
TOTAL STATE FUNDS	512,578,261	29.35%
FEDERAL & LOCAL		
Federal Jobs Funds, Est.	513,692,000	29.42%
Other Federal & Local Funds	720,000,000	41.23%
TOTAL FEDERAL & LOCAL FUNDS	1,233,692,000	70.65%
TOTAL APPROPRIATION	1,746,270,261	100.00%

The State Public Road and Bridge Fund is comprised of Highway User Revenues including auto licenses; gasoline tax (16 cents per gallon); motor fuel tax (19 cents per gallon); truck identification decals; petroleum products inspection fees on motor fuel not subject to the motor fuel tax (2 cents per gallon); motor carrier mileage taxes and fees; outdoor advertising permits; oversize and overweight permits; lubricating oil tax; liquefied petroleum licenses; and interest income from Alabama Highway Authority and Industrial Access Road and Bridge Authority bonds. Within the Department, the Federal Aid Construction Program administers all Federal Aid Highway Funds made available to the state.

The Airport Development Fund is comprised of revenue generated from the Aviation Fuel Tax, a portion of the fees collected from ALDOT's Interstate Motorist Logo Program and Federal Aviation Administration (FAA) grants that have been awarded to various airport authorities.

Transportation Continued:

**US Department of Transportation: Federal Highway Administration
Summary of Estimated Highway Apportionments as Authorized by SAFETEA-LU
State of Alabama: FY 2008-FY 2010**

Transportation Program	FY 2008	FY 2009	FY 2010	Grand Total
Interstate Maintenance	92,931,910	94,428,700	111,018,257	298,378,867
National Hwy. System	105,367,050	107,063,975	125,143,890	337,574,915
Surface Transportation Program	123,322,562	125,308,411	144,861,619	393,492,592
Bridge Replacement & Rehabilitation	72,047,390	73,207,858	72,731,371	217,986,619
Congestion Mitigation & Air Quality	8,636,175	8,775,237	10,137,908	27,549,320
Appalachian Development	29,235,269	29,235,269	117,500,000	175,970,538
Rec. Trails	1,681,466	1,787,673	1,489,691	4,958,830
Metropolitan Planning	2,884,796	2,930,666	2,930,666	8,746,128
Safety	22,817,304	23,261,263	28,488,605	74,567,172
Rail-Hwy. Crossings	4,409,492	4,409,492	4,368,644	13,187,648
Border Infrastructure	-	-	-	-
Safe Routes To School	1,920,686	2,401,698	2,738,816	7,061,200
High Priority Projects	55,067,440	55,067,440	-	110,134,880
Equity Bonus	238,263,203	238,249,523	165,825,732	642,338,458
Grand Total	758,584,745	766,127,206	787,235,219	2,311,947,170

SAFETEA-LU is the acronym for The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users. It authorizes the Federal surface transportation programs for highways, highway safety, and transit for the 5-year period 2005-2009 and was enacted on August 10, 2005. It is the successor to the previous highway funding authorization legislation enacted by Congress: The Transportation Equity Act for the 21st Century (TEA-21), which formally expired on September 30, 2003 and was enacted on June 9, 1998. On March 17, 2010 Congress approved the extension of SAFETEA-LU thru December 31, 2010.

UNIFIED JUDICIAL SYSTEM

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	129,641,636	70.48%
Advanced Technology and Data Exchange Fund	5,473,454	2.98%
Court Automation Fund	3,335,617	1.81%
Court Referral Officer Fund	6,550,314	3.56%
Transfer from Road & Bridge Fund	25,000,000	13.59%
Juvenile Probation Services Fund	5,541,480	3.01%
TOTAL STATE FUNDS	175,542,501	95.44%
FEDERAL & LOCAL	8,390,365	4.56%
TOTAL APPROPRIATION	183,932,866	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$705,543.

For FY 2011 the Unified Judicial System includes the following:

Supreme Court	9 Judges
Court of Criminal Appeals	5 Judges
Court of Civil Appeals	5 Judges
Circuit Court	145 Judges
District Court	106 Judges
Probate Court	68 Judges

The Supreme Court, the highest state court in Alabama, has the power to superintend and review any case tried, heard, or appealed in any other court in the state. The Court has exclusive jurisdiction in appeals in civil cases in which the amount in controversy exceeds \$50,000; appeals from decisions of the Alabama Public Service Commission involving utility rates; appeals from disciplinary actions taken by the Alabama State Bar; petitions seeking extraordinary relief; and petitions relating to administrative matters of the Alabama State Bar. The Court may transfer to the Court of Civil Appeals any civil case appealed and within the jurisdiction of the Supreme Court, except certain specified cases.

The Court of Criminal Appeals has exclusive appellate jurisdiction over all appeals in criminal cases including violations of municipal ordinances, and all post-conviction writs.

The Court of Civil Appeals has original appellate jurisdiction in all civil appeals where the amount in controversy does not exceed \$50,000, all appeals from administrative agencies other than the Alabama Public Service Commission, all appeals in Workers' Compensation cases, and all appeals in domestic relations cases. The Supreme Court may transfer to the Court of Civil Appeals any civil case appealed to the Supreme Court, with certain exceptions.

Unified Judicial System Continued:

The Circuit Courts are the State's trial courts of general jurisdiction which exercise both criminal and civil jurisdiction. The 67 counties in Alabama are divided into 41 judicial circuits (plus the 10th Circuit Bessemer Division) which are composed of one to five counties. The Circuit Court has exclusive original jurisdiction in civil cases in which the matter in controversy exceeds \$10,000; exclusive original jurisdiction of all felony prosecutions and/or misdemeanors or ordinance violations which are lesser included offenses within a felony charge (except the district court has concurrent jurisdiction with the Circuit Court to receive pleas of guilty in felony cases not punishable by death); original concurrent jurisdiction with the District Court in juvenile cases, and in all civil matters where the amount in controversy exceeds \$3,000 but does not exceed \$10,000.

The District Courts are the State's trial courts of limited jurisdiction. There is a District Court in each county. Sessions of court are held in each county seat and in each municipality of 1,000 or more population where no municipal court exists. District Courts have original civil jurisdiction, concurrent with the Circuit Court, in civil actions in which the matter in controversy does not exceed \$10,000 and civil actions based on unlawful detainer and in juvenile cases. They have exclusive original jurisdiction over all civil matters wherein the amount in controversy does not exceed \$3,000. Civil actions involving \$3,000 or less are heard by the small claims division of District Court. District Courts have exclusive original jurisdiction over prosecutions of all offenses defined by law or ordinance as misdemeanors, except prosecutions by municipalities having municipal courts. They have original jurisdiction concurrent with the Circuit Court to receive pleas of guilty in prosecution of felonies not punishable by death. They have exclusive original jurisdiction of misdemeanor prosecutions for traffic infractions, except ordinance infractions prosecuted in municipal court and DUI offenses committed by juveniles. They have concurrent jurisdiction with the Circuit Court in juvenile cases.

The Investment In Justice Act of 1999 (Act 99-427) set the base state salary for state judges as follows:

Circuit Judges

FY 2001 - the amount authorized under the state merit system pay plan on the effective date of the act (June 10, 1999) for an Attorney IV, step 14.

FY 2002 - the amount authorized under the state merit system pay plan on the effective date of the act for an Attorney IV, step 17.

FY 2003 and thereafter - \$1,000 more than the maximum paid an Attorney IV on the effective date of the act.

The Act further provided, however, that the salaries of circuit judges shall not be increased above the amounts provided by the Investment In Justice Act as a result of any increases in the salaries of Attorneys IV which occur after June 10, 1999.

District Judges - \$1,000 less than circuit judges.

Chief Justice - \$3,000 more than the highest total compensation, including salary supplements and expense allowances, of any circuit judge.

Supreme Court Associate Justices - \$1,000 less than the Chief Justice.

Civil/Criminal Appeals Presiding Judges - \$500 less than Associate Justices.

Civil/Criminal Appeals Associate Judges - \$500 less than Presiding Judges.

Unified Judicial System Continued:

Act 99-427 also provided additional state compensation based on judges' bench experience, in the amount of 1.25% of the base state salary for each additional year of bench service, up to a maximum of 25%. However, Act 2004-636 froze bench experience compensation by providing that no judges have their salary additionally increased for bench experience after May 26, 2004. Act 2006-119 (SJR 33 - the Report of the Judicial Compensation Commission made in the 2006 Regular Session of the Legislature) reinstated additional compensation for bench experience - but only for circuit and district judges, to be implemented October 1, 2006.

Act 99-427 also provided that local supplements and expense allowances of circuit and district judges be reduced by the amount of their state salary increases until the supplements are eliminated. The act also provided that judges first elected or appointed after October 1, 2001 shall not receive a local supplement or expense allowance.

Act 2007-297 provided that the cost-of-living salary increase for FY 2008 and FY 2009 shall not apply to any appellate judge.

Judicial State Salaries Ranges
(including bench experience) are:

Circuit Judges	\$119,949 - \$149,936
District Judges	\$118,949 - \$148,986
Chief Justice*	\$161,003 - \$201,253
Associate Justices*	\$160,003 - \$200,003
Appeals Courts Presiding Judges*	\$159,503 - \$199,378
Appeals Courts Associate Judges*	\$159,003 - \$198,753

*The salary amounts shown reflect that appellate judges received a salary increase in FY 2008 and in FY 2009.

The salary of Circuit and District Clerks is linked to the salary schedule of the Assistant Clerk of the Court of Criminal Appeals (except as provided for by Act 2000-611 which prohibited circuit clerks from receiving the 2% cost-of-living adjustment effective in fiscal years 2001 and 2002). For FY 2011, the salary range is \$69,098 to \$105,403 depending upon years of service, for those who take office for the first time on or after January 18, 1999. For those who were in office before January 18, 1999, the range is \$99,489 to \$105,403.

The salary of an Official Court Reporter is \$52,798 for FY 2011.

VETERANS AFFAIRS

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	3,802,481	5.37%
Education Trust Fund**	20,170,720	28.47%
Veterans' Assistance Fund	30,961,983	43.70%
TOTAL STATE FUNDS	54,935,184	77.54%
VETERAN'S HOME TRUST TRANSFER	15,911,932	22.46%
TOTAL APPROPRIATION	70,847,116	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$707,204.

**This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$225,187.

The Department of Veterans Affairs assists 415,000 living veterans and their dependents throughout the state's 79 offices. The Department also administers the Alabama G.I. and Dependents Educational Benefit Act. As part of administering these acts, the Department receives an open-ended appropriation from the Education Trust Fund (ETF), pursuant to Section 31-6-15 of the Code of Alabama 1975. The Department is appropriated from the ETF an amount "as may be necessary" to reimburse Alabama educational institutions for educational benefits provided to qualified veterans.

PERFORMANCE INDICATORS

FY 2009

Compensation & pension claims filed	31,168
Medical claims filed	4,708
Insurance claims filed	432
Educational claims filed (state and federal)	3,192
Original educational benefit certificates issued	1,811
Supplemental certificates issued	801

The Department of Veterans Affairs and the State Board of Veterans Affairs supervises the operations of the 150 bed Bill Nichols State Veterans Home in Alexander City, Alabama, the 150 bed William F. Green State Veterans Home in Bay Minette, Alabama and the 150 bed Floyd "Tut" Fan State Veterans Home in Huntsville, Alabama. These facilities are operated with state, patient and federal per diem funds. The Department is also planning on constructing a fourth veterans home in Pell City, Alabama. This will be a 260 bed facility, with 80 beds reserved for veterans requiring assisted living-type care, and 180 beds reserved for veterans requiring skilled nursing care (the type provided at the other three homes).

	<u>Effective 7-1-10</u>
Daily housing rate	\$161.53
Federal per diem	(74.42)
State per diem	<u>(75.47)</u>
Out-of-pocket expense by veterans	\$11.64

YOUTH SERVICES

FY 2011 APPROPRIATION	TOTAL	% OF TOTAL
STATE FUNDS		
General Fund*	14,266,546	15.10%
Education Trust Fund**	63,710,810	67.45%
TOTAL STATE FUNDS	77,977,356	82.55%
FEDERAL & LOCAL		
Federal Jobs Funds, Est.	526,554	0.56%
Federal Stimulus Funds, Est.	232,619	0.25%
Federal & Local	15,724,048	16.65%
TOTAL FEDERAL & LOCAL	16,483,221	17.45%
TOTAL APPROPRIATION	94,460,577	100.00%

*This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$499,487.

**This amount includes FY 2010 reversion eligible for reappropriation in FY 2011 in the amount of \$1,880,454.

The Department of Youth Services (DYS) provides programs for the prevention of juvenile delinquency and the rehabilitation of delinquent youth. Programs include evaluation, detention, rehabilitation, and education.

The ETF amount includes \$4,827,979 for S.P.A.N. (Special Programming for Achievement Network) Programs.

Facilities include:

4 state operated institutional units	474 bed capacity
1 state operated short-term programs	78 bed capacity
4 state operated group homes	49 bed capacity
13 contracts with private facilities	208 bed capacity

2,078 youth were committed to DYS in FY 2009 for treatment and rehabilitation from approximately 51,464 cases referred to the Alabama Juvenile Court System.

The Department has budgeted operating expenses at \$179 per day (\$65,335 per year) at the traditional state operated training school institutions and \$108 per day (\$39,420 per year) at the group homes. The operating expense for DYS short-term programs is \$134 per day (\$48,910 per year). Private placement costs range from \$87 to \$190 per day depending upon level of service.

In 2010, DYS estimated capital cost of \$120,265 per bed for construction of a new, freestanding secure facility (\$1.9 million for 16 beds) and capital cost of \$53,865 per bed for construction of additional dorms at existing facilities.